CHESS SPONSORSHIP AND BROKER TO BROKER REQUEST FORM

Commonwealth Securities Limited
ABN 60 067 254 399 AFSL 238814 (CommSec)
A Participant of the ASX Group

IMPORTANT INFORMATION

Please complete this form to transfer all or part of your existing holdings from another broker (with whom you are sponsored) to Commonwealth Securities Limited (CommSec). If this is the case you may send this form back by email or post:

Email: shares@commsec.com.au
Post: CommSec, Locked Bag 22, Australian Square NSW 1215

Important: For assistance completing this form, please refer to page 3.

COMMSEC ACCOUNT DETAILS A

CommSec Trading Account Number

Registered Name on CommSec Trading Account

Holder Identification – HIN (if applicable)

Account Designation or Trust (if applicable) eg: <X&Y Superfund A/C>

EXISTING SPONSORSHIP BROKER DETAILS (OTHER BROKER) B

For your transfer to be successful, your registration details on this form must be an exact match with the registration details on your CommSec Trading Account. If not, you will need to advise your existing sponsoring participant of any changes before we can process this transfer.

Important: A copy of your latest CHESS Holding Statement must be attached. You may select to transfer all or part of your existing broker holdings.

Registered name and address as recorded on your latest CHESS Holding Statement (Broker Sponsored)

Account Designation or Trust (if applicable) eg: <X&Y Superfund A/C>

Name of existing Sponsoring Broker (Broker name)

Account Number

Holder Identification – HIN (if applicable)

Broker number (PID)

Please select one option

☐ Please transfer HIN from existing Broker (all holdings) to CommSec (PID 1402)

☐ Please transfer ONLY those holdings listed below from existing broker to CommSec (PID 1402)

Securities to be transferred

<table>
<thead>
<tr>
<th>ASX CODE</th>
<th>SECURITY NAME (EG AMP LIMITED)</th>
<th>QUANTITY (NO. OF SHARES)</th>
</tr>
</thead>
</table>

Attach a separate sheet if additional securities are required to be transferred.

Note: It may be necessary to re-lodge your standing instructions with the Share Registries after some transfers. These include tax file numbers, banking details and DRP or dividend instructions.
CHESS SPONSORSHIP AND BROKER TO BROKER REQUEST FORM

AGREEMENT

Chess Sponsorship Sponsor me/us into the CHESS Settlement Facility in accordance with the CommSec Terms and Conditions of CHESS Sponsorship (which form part of the CommSec Trading Terms and Conditions that are available from our website).

I/We authorise CommSec to transfer the existing HIN and all holdings / the above listed Holdings into my/our CommSec Trading Account.

Signature of security holders requesting transfer (all securityholders must sign)

Client 1
Client ID
Client 1 Name
Client 1 Signature
Date

Client 2
Client ID (if applicable)
Client 2 Name
Client 2 Signature

Client 3
Client ID (if applicable)
Client 3 Name
Client 3 Signature

CHECKLIST FOR COMPLETING THIS FORM

FOR YOUR TRANSFER TO BE PROCESSED WITHOUT DELAY PLEASE ENSURE:

- Name and address details listed on your current CommSec Trading Account are the same as the details held with your other broker*.
- All security holders requesting the share transfers sign this document.
- For Company Accounts with two or more directors, either both directors sign, or one director and the company secretary sign and state their titles.
- For Joint Accounts, both holders of the account sign.
- You reference the correct HIN or PID of the other broker.
- Lines are drawn through any mistakes on the form and initialed by all signatories on the account.
- You have no outstanding orders or pending trade settlements for the shares you wish to transfer.

Important: Correction fluid cannot be used on this form.

*The name and registration details that appear on your current registered holding MUST be in the same name that appears on your CommSec Trading Account. If this requirement is not met, your request to transfer holdings may be delayed or rejected. If your details have changed, you will need to advise your existing sponsoring participant (broker) of any changes before we can process this transfer.
**RELATED DEFINITIONS**

**CHESS** stands for Clearing House Electronic Sub-register System. The CHESS Settlement Facility is a computerised share registry and settlement system operated by the ASX Settlement Pty Ltd (ASX Settlement), a subsidiary of the ASX. CHESS records an account’s share ownership via the ASX’s computer-based share and settlement transaction system. An account’s security holdings are recorded against their HIN and a statement is sent out to their registered address.

**Issuer Sponsored Holdings** are maintained by the Share Registry on behalf of the Issuer of your shares.

**Security holder Reference Number (SRN)** is a unique number that identifies you as an Issuer Sponsored holder with a listed company. A SRN usually starts with the letter “I” e.g. 100012345678. If you hold shares in more than one company and choose to be Issuer Sponsored, you will have a different SRN for each shareholding.

**Broker Sponsored Holdings** if your shares are Broker Sponsored, you will have a Holder Identification Number (HIN) allocated to your holding rather than a Shareholder Reference Number (SRN), which starts with an “X”. You can be sponsored on CHESS by more than one broker or institution and you can even maintain holdings in the same security under different sponsorships.

**Holder Identification Number (HIN)** is a unique number that you are issued when you are CHESS/Broker Sponsored Holder. Your HIN can register multiple securities under the one HIN. A HIN starts with the letter X and is followed by eleven numbers e.g. 00002488123.

**PID** is an acronym for Participant Identification Number. This is the Broker’s identification number. CommSec’s PID is 1402.