

International Securities Trading - SMSF & Trust Application

How To Complete Your Application Form

To ensure that your application is processed promptly, please follow the instructions below. Before completing the application, be sure to read the 'International Securities Trading Terms and Conditions' including the 'Customer Information and Privacy' section and the 'Direct Debit Request Service Agreement' available at www.commsec.com.au, and the Risk Disclosure Statement for International Securities Trading within this document.

Checklist

Please ensure you	1	All applicants read and complete the International Securities Trading - SMSF & Trust Application Form.	
	2	All applicants who are not existing CommSec or Commonwealth Bank customers to attach certified proof of identification documents. Existing customers that are not Australian citizens must also provide certified ID.	
	3	All applicants read and sign the 'Risk Disclosure Statement for International Securities Trading & Client/Applicant(s) Acknowledgement' in Section 8'.	
	4	One Trustee complete and sign the U.S. Department of Treasury I.R.S. Form W-8BEN-E, Certificate of Foreign Status of Beneficial Owner for United States Tax Withholding in Section 9. Follow instructions on page 21.	
	5	All Trustees complete and sign the Trustee Certification Form on page 30 and 31. Follow instructions on page 21.	
	6	Return your completed application form to: CommSec International Trading Desk Reply Paid 60768 Australia Square NSW 1214 Or alternatively email your completed application and supporting documents to: commsecinternationaldesk@cba.com.au	You will receive confirmation via email of your opened CommSec International Securities Trading Account after approximately 5 business days. This will be dependent on providing all requirements as listed in 1 to 5 above.

Sections to be completed	Individual Trustee	Corporate Trustee
1	✓	✓
2	✓	✓
3	✓	✓
4	✓	✓
5		✓
6	✓	✓
7	✓	✓
8	✓	✓
9 – W-8BEN-E & Trustee Certification	✓	✓

Correct Form of Registrable Title

Applications must be in the name/s of a natural person, companies or other legal entities acceptable to CommSec. One full given name and the surname are required for each natural person. An applicant's name must appear the same across the application.

Type of Investor	Section	Correct Form	Examples of Incorrect Form
Director or Trustee Use given names not initials	1 2, 3, 4 (if applicable)	Jane Mary Citizen	✓ J.M. Citizen ✗
Company Do not use abbreviations	5	XYZ Pty Ltd	✓ XYZ Co ✗
Trusts Use Trust name excluding the word 'trust'	6	Jane Citizen Family	✓ Jane Citizen & Son ✗
Self Managed Super Funds (SMSF's) Use Super Fund name (as per name on Trust Deed)	6	Jane Citizen Superannuation Fund	✓ Jane Citizen Pty Ltd Superannuation Fund ✗

Important Information

Read before proceeding

If you wish to authorise someone else to trade and/or conduct transactions on your behalf, you must complete a Power of Authority Form. This form is available to download from the CommSec website. Once completed, please attach it to your International Securities Trading Application Form.

Director 1 / Trustee 1 Personal Details

Important Information **Read before proceeding**

- The first Director/Trustee of a trust to complete this section.
- All Trustees of a Trust must provide their personal details and sign the declaration in Section 8.

Personal Details A

Title Given Name/s

Surname

Other Names Known By

Date of Birth Gender

Select your level of trading experience:

New to share trading

Some experience

Frequent Trader (> 3 trades a month)

Are you a sole trader?

If yes please provide *your*

Business Name (If any, sole trader only)

ABN for Sole Trader

Residential Address (Cannot be a PO Box)

STATE POSTCODE

COUNTRY

Postal Address (if same as residential address write 'As above')

STATE POSTCODE

COUNTRY

E-mail Address

Please tick to indicate your preferred contact number

WORK

MOBILE

HOME

FAX

Personal Details (Continued) A

Job Category*

Job Type*

*** Note:** You **MUST** complete these sections using an approved Job category and type. For the list of categories and types, see Appendix 1.

If you reside outside of Australia please provide the purpose for opening the account/facility in Australia.

From time to time we provide our clients with information about products and services which might be of interest to you. This may include our special promotional offers, Initial Public Offerings (IPOs) and market information and insights. If you do not wish to receive this information, please check this tick box

Countries of Citizenship B

Please list the country/ies you are a citizen of

<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>

Note: If you are not an Australian citizen and resident, you must provide a certified copy of photo ID. Please follow the instructions on the next page.

Countries of Residence C

Please list the country/ies you reside in

<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>

Countries of Tax Residence D

Please list the country/ies that you are liable to pay tax or submit a tax return

<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>

Director 1 / Trustee 1 Personal Details (Continued)

Login details for online access E

Customers who are new to CommSec Only:

We will send you a temporary login password for online access via email and/or SMS. You will be prompted to change this to a permanent login password when you log into CommSec online for the first time.

Identification F

Please tick and complete ONE of the following options below:

I have an existing CommSec Client ID or Share Trading account

Client ID

5

Account Name

Or

I have a Commonwealth Bank account. Please note that for identification purposes the personal and contact details that you have provided on this application **MUST match** the details on your existing bank account.

Bank State Branch (BSB)

Account Number

Account Name

Or

I have a Commonwealth Bank Credit Card Account No. Please note that for identification purposes the personal and contact details that you have provided on this application **MUST match** the details on your existing credit card account.

Account Name

Identification (Continued) F

Or

I do not have a CommSec Share Trading account, Commonwealth Bank Account or Commonwealth Bank Credit Card. I will attach a clear, legible original certified photocopy of a current (not expired) document selected from one of the following options:

Either (please select ONE only):

Passport and a recent utility bill

Australian Driver's Licence

For dual citizens, please provide Australian photo identification. Citizens of countries other than Australia must provide a certified copy of their passport.

Please note: If you are a new CBA customer or you are not only an Australian citizen, the photo ID must be certified. Please follow the instructions in the section below.

Sample Certified Copy Of Drivers Licence (New CBA Customers and Non Australian Citizens/Residents Only)

Each Photocopy must be originally certified.

Persons allowed to certify identification documents include; Justice of the Peace, Bank Officer and Police Officer. For a full list of persons who can certify your ID please go to www.commssec.com.au, Support > Forms & Brochures > International > 'List of Identification Requirements'.

Certifiers must include their occupation, full name, signature and date and write the statement 'I certify this is a true copy of the original document' on your photocopied original photo identification (see sample below):

"I certify this is a true copy of the original document"



Solicitor

Occupation

James Certifier

Full Name

J. Certifier

Signature

10/10/2016

Date

Director 2 / Trustee 2 Personal Details

Important Information Read before proceeding

- The second Director/Trustee of a Trust to complete this section.
- All Trustees of a Trust must provide their personal details and sign the declaration in Section 8.

Personal Details A

Title Given Name/s

Surname

Other Names Known By

Date of Birth Gender

Select your level of trading experience:

New to share trading

Some experience

Frequent Trader (> 3 trades a month)

Are you a sole trader?
 If yes please provide *your*

Business Name (If any, sole trader only)

ABN for Sole Trader

Residential Address (Cannot be a PO Box)

STATE POSTCODE

COUNTRY

Postal Address (if same as residential address write 'As above')

STATE POSTCODE

COUNTRY

E-Mail Address

Please tick to indicate your preferred contact number

() WORK

() MOBILE

() HOME

() FAX

Personal Details (Continued) A

Job Category*

Job Type*

*** Note:** You **MUST** complete these sections using an approved Job category and type. For the list of categories and types, see Appendix 1.

If you reside outside of Australia please provide the purpose for opening the account/facility in Australia.

From time to time we provide our clients with information about products and services which might be of interest to you. This may include our special promotional offers, Initial Public Offerings (IPOs) and market information and insights. If you do not wish to receive this information, please check this tick box

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Please list the country/ies you are a citizen of

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<input type="text"/>	<input type="text"/>

Note: If you are not an Australian citizen and resident, you must provide a certified copy of photo ID. Please follow the instructions on the next page.

Countries of Residence C

Please list the country/ies you reside in

<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>

Countries of Tax Residence D

Please list the country/ies that you are liable to pay tax or submit a tax return

<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>

Director 2 / Trustee 2 Personal Details (Continued)

Login Details For Online Access

E

Customers who are new to CommSec Only:

We will send you a temporary login password for online access via email and/or SMS. You will be prompted to change this to a permanent login password when you log into CommSec online for the first time.

Identification

F

Please tick and complete ONE of the following options below:

I have an existing CommSec Client ID or Share Trading account

Client ID

5

Account Name

Or

I have a Commonwealth Bank account. Please note that for identification purposes the personal and contact details that you have provided on this application **MUST match** the details on your existing bank account.

Bank State Branch (BSB)

Account Number

Account Name

Or

I have a Commonwealth Bank Credit Card Account No. Please note that for identification purposes the personal and contact details that you have provided on this application **MUST match** the details on your existing credit card account.

Account Name

Identification (continued)

F

Or

I do not have a CommSec Share Trading account, Commonwealth Bank Account or Commonwealth Bank Credit Card. I will attach a clear, legible original certified photocopy of a current (not expired) document selected from one of the following options:

Either (please select ONE only):

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Certifiers must include their occupation, full name, signature and date and write the statement 'I certify this is a true copy of the original document' on your photocopied original photo identification (see sample below):

"I certify this is a true copy of the original document"



Solicitor

James Certifier

Occupation

Full Name

J. Certifier

10/10/2016

Signature

Date

Director 3 / Trustee 3 Personal Details

Important Information **Read before proceeding**

- The third Director/Trustee of a Trust to complete this section.
- All Trustees of a Trust must provide their personal details and sign the declaration in Section 8.

Personal Details A

Title Given Name/s

Surname

Other Names Known By

Date of Birth Gender

Select your level of trading experience:

New to share trading

Some experience

Frequent Trader (> 3 trades a month)

Are you a sole trader?

If yes please provide *your*

Business Name (If any, sole trader only)

ABN for Sole Trader

Residential Address (Cannot be a PO Box)

STATE POSTCODE

COUNTRY

Postal Address (if same as residential address write 'As above')

STATE POSTCODE

COUNTRY

E-Mail Address

Please tick to indicate your preferred contact number

WORK

MOBILE

HOME

FAX

Personal Details (Continued) A

Job Category*

Job Type*

*** Note:** You **MUST** complete these sections using an approved Job category and type. For the list of categories and types, see Appendix 1.

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Countries of Citizenship B

Please list the country/ies you are a citizen of

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<input type="text"/>	<input type="text"/>

Note: If you are not an Australian citizen and resident, you must provide a certified copy of photo ID. Please follow the instructions on the next page.

Countries of Residence C

Please list the country/ies you reside in

<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>

Countries of Tax Residence D

Please list the country/ies that you are liable to pay tax or submit a tax return

<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>

Director 3 / Trustee 3 Personal Details (Continued)

Login Details For Online Access E

Customers who are new to CommSec Only:
We will send you a temporary login password for online access via email and/or SMS. You will be prompted to change this to a permanent login password when you log into CommSec online for the first time.

Identification F

Please tick and complete ONE of the following options below:

I have an existing CommSec Client ID or Share Trading account

Client ID
5

Account Name

Or

I have a Commonwealth Bank account. Please note that for identification purposes the personal and contact details that you have provided on this application **MUST match** the details on your existing bank account.

Bank State Branch (BSB) Account Number

Account Name

Or

I have a Commonwealth Bank Credit Card Account No. Please note that for identification purposes the personal and contact details that you have provided on this application **MUST match** the details on your existing credit card account.

Account Name

Identification (continued) F

Or

I do not have a CommSec Share Trading account, Commonwealth Bank Account or Commonwealth Bank Credit Card. I will attach a clear, legible original certified photocopy of a current (not expired) document selected from one of the following options:

Either (please select ONE only):

Passport and a recent utility bill

Australian Driver's Licence

For dual citizens, please provide Australian photo identification. Citizens of countries other than Australia must provide a certified copy of their passport.

Please note: If you are a new CBA customer or you are not only an Australian citizen, the photo ID must be certified. Please follow the instructions in the section below.

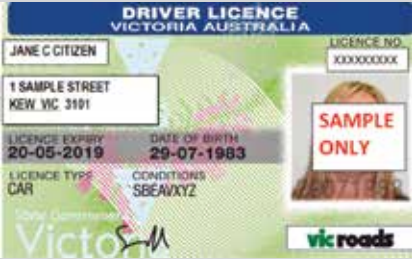
Sample Certified Copy Of Drivers Licence (New CBA Customers and Non Australian Citizens/Residents Only)

Each Photocopy must be originally certified.

Persons allowed to certify identification documents include; Justice of the Peace, Bank Officer and Police Officer. For a full list of persons who can certify your ID please go to www.commssec.com.au, Support > Forms & Brochures > International > 'List of Identification Requirements'.

Certifiers must include their occupation, full name, signature and date and write the statement 'I certify this is a true copy of the original document' on your photocopied original photo identification (see sample below):

"I certify this is a true copy of the original document"



Solicitor James Certifier

Occupation Full Name

J. Certifier 10/10/2016

Signature Date

Director 4 / Trustee 4 Personal Details

Important Information **Read before proceeding**

- The fourth Director/Trustee of a Trust to complete this section.
- All Trustees of a Trust must provide their personal details and sign the declaration in Section 8.

Personal Details A

Title Given Name/s

Surname

Other Names Known By

Date of Birth Gender

Select your level of trading experience:

New to share trading

Some experience

Frequent Trader (> 3 trades a month)

Are you a sole trader?

If yes please provide *your*

Business Name (If any, sole trader only)

ABN for Sole Trader

Residential Address (Cannot be a PO Box)

STATE POSTCODE

COUNTRY

Postal Address (if same as residential address write 'As above')

STATE POSTCODE

COUNTRY

E-Mail Address

Please tick to indicate your preferred contact number

WORK

MOBILE

HOME

FAX

Personal Details (Continued) A

Job Category*

Job Type*

*** Note:** You **MUST** complete these sections using an approved Job category and type. For the list of categories and types, see Appendix 1.

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Countries of Citizenship B

Please list the country/ies you are a citizen of

<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>

Note: If you are not an Australian citizen and resident, you must provide a certified copy of photo ID. Please follow the instructions on the next page.

Countries of Residence C

Please list the country/ies you reside in

<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>

Countries of Tax Residence D

Please list the country/ies that you are liable to pay tax or submit a tax return

<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>

Director 4 / Trustee 4 Personal Details (Continued)

APPLICANT 4

Login Details For Online Access

E

Customers who are new to CommSec Only:

We will send you a temporary login password for online access via email and/or SMS. You will be prompted to change this to a permanent login password when you log into CommSec online for the first time.

Identification

F

Please tick and complete ONE of the following options below:

I have an existing CommSec Client ID or Share Trading account

Client ID

5

Account Name

Or

I have a Commonwealth Bank account. Please note that for identification purposes the personal and contact details that you have provided on this application **MUST match** the details on your existing bank account.

Bank State Branch (BSB)

Account Number

Account Name

Or

I have a Commonwealth Bank Credit Card Account No. Please note that for identification purposes the personal and contact details that you have provided on this application **MUST match** the details on your existing credit card account.

Account Name

Identification (continued)

F

Or

I do not have a CommSec Share Trading account, Commonwealth Bank Account or Commonwealth Bank Credit Card. I will attach a clear, legible original certified photocopy of a current (not expired) document selected from one of the following options:

Either (please select ONE only):

Passport and a recent utility bill

Australian Driver's Licence

For dual citizens, please provide Australian photo identification. Citizens of countries other than Australia must provide a certified copy of their passport.

Please note: If you are a new CBA customer or you are not only an Australian citizen, the photo ID must be certified. Please follow the instructions in the section below.

Sample Certified Copy Of Drivers Licence (New CBA Customers and Non Australian Citizens/Residents Only)

Each Photocopy must be originally certified.

Persons allowed to certify identification documents include; Justice of the Peace, Bank Officer and Police Officer. For a full list of persons who can certify your ID please go to www.commssec.com.au, Support > Forms & Brochures > International > 'List of Identification Requirements'.

Certifiers must include their occupation, full name, signature and date and write the statement 'I certify this is a true copy of the original document' on your photocopied original photo identification (see sample below):

"I certify this is a true copy of the original document"



Solicitor

Occupation

James Certifier

Full Name

J. Certifier

Signature

10/10/2016

Date

Company Details

Company Details A

Company Name

Registered business address (cannot be a PO Box)

STATE POSTCODE

COUNTRY

Principal Place of Business Address (cannot be a PO Box)
 (if same as registered business address write 'As above')

STATE POSTCODE

COUNTRY

Postal Address

STATE POSTCODE

COUNTRY

Please tick to indicate your preferred contact number

() WORK

() MOBILE

E-mail Address

ABN ACN (tick as appropriate)

Industry Category**

Industry type**

**** Note** You **MUST** complete these sections using an approved Industry category and type. For the list of categories and types, see Appendix 2.

Has the company ever been declared insolvent?
 Yes No

Is the company operating as a charity?
 Yes No

If 'yes' what is the purpose of the charity?

Company type
 Public Proprietary/Private

Company Details (Continued) A

Was the company established in Australia?
 Yes No

If yes, please skip to section 5B.

Country of formation/incorporation/registration

Name of foreign registration authority

What is the purpose for opening the account/facility in Australia?

Is this foreign company registered with ASIC?
 Yes No

If Yes, please provide Australian Registered Business Number (ARBN)

Business Activity B

Is investing the primary activity of the entity?
 Yes No

The entity earns more than 50% of its total income from investment activities (for example rent, interest or dividends); or more than 50% of the entity's assets produce or are held for producing investment income.

Additional Company Directors C

If 'Domestic Proprietary' company type selected, please list the full names of ALL additional directors of the company that are not listed as an applicant/s. If there are more than 2 additional directors, please photocopy this page and fill out details for each additional director. Then attach the additional forms to your application.

Additional Directors

Title Given Name/s

Surname

Other Names Known By

Additional Directors

Title Given Name/s

Surname

Other Names Known By

Company Details (Continued)

Beneficial Ownership Details (Continued) C

Beneficial Owner 2

Same as Applicant 2 **Or**, complete the following:

Title Given Name/s

Surname

Other Names Known By

Date of Birth Gender

E-Mail Address (Optional)

Beneficial owner's address (cannot be a PO Box)

STATE POSTCODE
COUNTRY (IF NOT AUSTRALIA)

Note: Beneficial owners do not have authority to the account unless they are also listed in the applicant section of this form.

Identification

Please tick and complete ONE of the following options below:

I have an existing CommSec Client ID or Share Trading account

Client ID

Account Name

Or

I have a Commonwealth Bank account. Please note that for identification purposes the personal and contact details that you have provided on this application **must match** the details on your existing bank account.

Bank State Branch (BSB) Account Number

Account Name

Or

I have a Commonwealth Bank Credit Card Account No. Please note that for identification purposes the personal and contact details that you have provided on this application must match the details on your existing credit card account.

Beneficial Ownership Details (Continued) C

Account Name

Or I do not have a CommSec Share Trading account, Commonwealth Bank Account or Commonwealth Bank Credit Card. I will attach a clear, legible original certified photocopy of a current (not expired) document selected from one of the following options:

- Either (please select ONE only):
- Passport and a recent utility bill
 - Australian Driver's Licence

For dual citizens, please provide Australian photo identification. Citizens of countries other than Australia must provide a certified copy of their passport.

Please note: If you are a new CBA customer or you are not only an Australian citizen, the photo ID must be certified. Please follow the instructions in the section below.

Sample Certified Copy Of Drivers Licence (New CBA Customers and Non Australian Citizens/Residents Only)

Each Photocopy must be originally certified. Persons allowed to certify identification documents include; Justice of the Peace, Bank Officer and Police Officer. For a full list of persons who can certify your ID please go to www.commsec.com.au, Support > Forms & Brochures > International > 'List of Identification Requirements'.

Certifiers must include their occupation, full name, signature and date and write the statement 'I certify this is a true copy of the original document' on your photocopied original photo identification (see sample below):

"I certify this is a true copy of the original document"



<u>Solicitor</u>	<u>James Certifier</u>
Occupation	Full Name
<u>J. Certifier</u>	<u>10/10/2016</u>
Signature	Date

Company Details (Continued)

Beneficial Ownership Details (Continued) C

Beneficial Owner 3

Same as Applicant 3 **Or**, complete the following:

Title Given Name/s

Surname

Other Names Known By

Date of Birth Gender

E-Mail Address (Optional)

Beneficial Owner's Address (Cannot be a PO Box)

STATE POSTCODE

COUNTRY (IF NOT AUSTRALIA)

Note: Beneficial owners do not have authority to the account unless they are also listed in the applicant section of this form.

Identification

Please tick and complete ONE of the following options below:

I have an existing CommSec Client ID or Share Trading account

Client ID

Account Name

OR

I have a Commonwealth Bank account. Please note that for identification purposes the personal and contact details that you have provided on this application **MUST match** the details on your existing bank account.

Bank State Branch (BSB) Account Number

Account Name

Or

I have a Commonwealth Bank Credit Card Account No. Please note that for identification purposes the personal and contact details that you have provided on this application must match the details on your existing credit card account.

Identification (continued) C

Account Name

Or

I do not have a CommSec Share Trading account, Commonwealth Bank Account or Commonwealth Bank Credit Card. I will attach a clear, legible original certified photocopy of a current (not expired) document selected from one of the following options:

Either (please select ONE only):

Passport and a recent utility bill

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"I certify this is a true copy of the original document"



Solicitor
Occupation

James Certifier
Full Name

J. Certifier
Signature

10/10/2016
Date

Superannuation Fund / Trust Details

Important Information **Read before proceeding**

- All trusts (including superannuation funds) to complete this section.
- All individual Trustees of a Trust must complete Sections 1, 2, 3 and 4 of this application
 - All signatory Directors of a Company Trustee must complete Sections 1, 2, 3 and 4 of this application
 - All Company Trusts must complete Section 5 of this application

Superannuation Funds and Trusts **A**

Full Name of Trust

Provide an abbreviated version of the full name of the Trust

A/C (up to 23 characters)

Please exclude the word 'Trust' from the trust name (e.g. 'Mary Smith Family trust' should be 'Mary Smith Family')

Registered Business Name (if any)

ABN

Trust Type

Family Superannuation
 Settlement SMSF
 Other (please specify)

Is the trust operating as a charity?
 Yes No

If 'yes' what is the purpose of the charity?

Industry Category***

Industry type***

*** **Note** You **MUST** complete these sections using an approved Industry category and type. For the list of categories and types, see Appendix 2.

Trust Deed **C**

Is there a Trust Deed?
 Yes No

- If 'yes' and the trust is not an existing customer of CommSec, you will also need to provide a certified extract of the trust deed. The extract should include those pages which show the trust name, name of trustee/s, signature of trustee/s with witnesses' signatures, date of execution, name of beneficiary/s, name of settlor and settled sum amount.
- Go to www.commsec.com.au, Support > Forms & Brochures > International 'List of Identification Requirements' to view a list of persons who can certify your Trust Deed.

Has the trust been established in Australia?
 Yes No

If 'no' please complete the following three questions:
 Which country was the trust established in?

Trust Deed (Continued) **C**

What is the objective of the trust?

What is the purpose of seeking an account with CommSec?

Settlor Of Trust (**Mandatory**, the exception if trust type is SMSF or Testamentary trust)

Was the "settled sum" \$10,000 or more?
 Yes No

If Yes, name of Settlor:

Note: For customer who are new to CommSec, we are required to collect a Certified photocopy of the first page of the Trust Deed which shows the Name of Settlor and the settled sum amount.

Beneficiary Details **D**

Please provide the full name of each beneficiary/type of membership Class (e.g. unit holder, family member). If there are more than three beneficiaries/membership classes please photocopy this page, complete and attach to your application.

Beneficiary 1

Title Given Name/s

Surname

Other Names Known By

Beneficiary 2

Title Given Name/s

Surname

Other Names Known By

Beneficiary 3

Title Given Name/s

Surname

Other Names Known By

Superannuation Fund / Trust Details (Continued)

Business Activity E

Is the entity's primary activity investing?

Yes No

The entity earns more than 50% of its total income from investment activities (for example rent, interest or dividends); or more than 50% of the entity's assets produce or are held for producing investment income.

Additional Trustee Details F

If there are more than 3 trustees, please provide names and addresses for those additional trustees. For any additional trustees please photocopy this section, complete and attach to your application.

Additional Trustee 1

Title Given Name/s

Surname

Other Names Known By

Date of Birth Gender M F

E-Mail Address (Optional)

Additional Trustee's Address (Cannot be a PO Box)

STATE POSTCODE

COUNTRY (IF NOT AUSTRALIA)

Note: Beneficial owners do not have authority to the account unless they are also listed in the applicant section of this form.

Identification

Please tick and complete ONE of the following options below:

I have an existing CommSec Client ID or Share Trading account

Client ID

Account Name

Or

I have a Commonwealth Bank account. Please note that for identification purposes the personal and contact details that you have provided on this application **MUST match** the details on your existing bank account.

Bank State Branch (BSB) Account Number

Account Name

Additional Trustee Details (Continued) F

Or

I have a Commonwealth Bank Credit Card Account No. Please note that for identification purposes the personal and contact details that you have provided on this application **MUST match** the details on your existing credit card account.

Account Name

Or

I do not have a CommSec Share Trading account, Commonwealth Bank Account or Commonwealth Bank Credit Card. I will attach a clear, legible original certified photocopy of a current (not expired) document selected from one of the following options:

Either (please select ONE only):

Passport and a recent utility bill

Australian Driver's Licence

For dual citizens, please provide Australian photo identification. Citizens of countries other than Australia must provide a certified copy of their passport.

Please note: If you are a new CBA customer or you are not only an Australian citizen, the photo ID must be certified. Please follow the instructions in the section below.

Sample Certified Copy Of Drivers Licence (New CBA Customers and Non Australian Citizens/Residents Only)

Each Photocopy must be originally certified.

Persons allowed to certify identification documents include; Justice of the Peace, Bank Officer and Police Officer. For a full list of persons who can certify your ID please go to www.commsec.com.au, Support > Forms & Brochures > International > 'List of Identification Requirements'.

Certifiers must include their occupation, full name, signature and date and write the statement 'I certify this is a true copy of the original document' on your photocopied original photo identification (see sample below):

"I certify this is a true copy of the original document"



Solicitor
Occupation

James Certifier
Full Name

J. Certifier
Signature

10/10/2016
Date

Superannuation Fund / Trust Details (Continued)

Beneficial Ownership Details (Continued) C

Additional Trustee 2

Title Given Name/s

Surname

Other Names Known By

Date of Birth Gender

E-Mail Address (Optional)

Additional Trustee's address (cannot be a PO Box)

STATE POSTCODE

COUNTRY (IF NOT AUSTRALIA)

Note: Beneficial owners do not have authority to the account unless they are also listed in the applicant section of this form.

Identification
Please tick and complete ONE of the following options below:

I have an existing CommSec Client ID or Share Trading account

Client ID

Account Name

Or

I have a Commonwealth Bank account. Please note that for identification purposes the personal and contact details that you have provided on this application **MUST match** the details on your existing bank account.

Bank State Branch (BSB) Account Number

Account Name

Beneficial Ownership Details (Continued) C

Or

I have a Commonwealth Bank account. Please note that for identification purposes the personal and contact details that you have provided on this application **MUST match** the details on your existing bank account.

Bank State Branch (BSB) Account Number

Account Name

Or

I do not have a CommSec Share Trading account, Commonwealth Bank Account or Commonwealth Bank Credit Card. I will attach a clear, legible original certified photocopy of a current (not expired) document selected from one of the following options:

Either (please select **ONE** only):

Passport and a recent utility bill

Australian Driver's Licence

For dual citizens, please provide Australian photo identification. Citizens of countries other than Australia must provide a certified copy of their passport.

Please note: If you are a new CBA customer or you are not only an Australian citizen, the photo ID must be certified. Please follow the instructions in the section below.

Sample Certified Copy Of Drivers Licence (New CBA Customers and Non Australian Citizens/Residents Only)

Each Photocopy must be originally certified.

Persons allowed to certify identification documents include; Justice of the Peace, Bank Officer and Police Officer. For a full list of persons who can certify your ID please go to www.commsec.com.au, Support > Forms & Brochures > International > 'List of Identification Requirements'.

Certifiers must include their occupation, full name, signature and date and write the statement 'I certify this is a true copy of the original document' on your photocopied original photo identification (see sample below):

"I certify this is a true copy of the original document"



Solicitor James Certifier
Occupation Full Name

J. Certifier 10/10/2016
Signature Date

Superannuation Fund / Trust Details (Continued)

Beneficial Ownership Details (Continued) C

Additional Trustee 3

Title Given Name/s

Surname

Other Names Known By

Date of Birth Gender

E-Mail Address (Optional)

Additional Trustee's Address (Cannot be a PO Box)

STATE POSTCODE

COUNTRY (IF NOT AUSTRALIA)

Note: Beneficial owners do not have authority to the account unless they are also listed in the applicant section of this form.

Identification

Please tick and complete ONE of the following options below:

I have an existing CommSec Client ID or Share Trading account

Client ID

Account Name

Or I have a Commonwealth Bank account. Please note that for identification purposes the personal and contact details that you have provided on this application **MUST match** the details on your existing bank account.

Bank State Branch (BSB) Account Number

Account Name

Beneficial Ownership Details (Continued) C

Or I have a Commonwealth Bank account. Please note that for identification purposes the personal and contact details that you have provided on this application **MUST match** the details on your existing bank account.

Bank State Branch (BSB) Account Number

Account Name

Or I do not have a CommSec Share Trading account, Commonwealth Bank Account or Commonwealth Bank Credit Card. I will attach a clear, legible original certified photocopy of a current (not expired) document selected from one of the following options:

- Either (please select ONE only):
- Passport and a recent utility bill
 - Australian Driver's Licence

For dual citizens, please provide Australian photo identification. Citizens of countries other than Australia must provide a certified copy of their passport.

Please note: If you are a new CBA customer or you are not only an Australian citizen, the photo ID must be certified. Please follow the instructions in the section below.

Sample Certified Copy Of Drivers Licence (New CBA Customers and Non Australian Citizens/Residents Only)

Each Photocopy must be originally certified.

Persons allowed to certify identification documents include; Justice of the Peace, Bank Officer and Police Officer. For a full list of persons who can certify your ID please go to www.commssec.com.au, Support > Forms & Brochures > International > 'List of Identification Requirements'.

Certifiers must include their occupation, full name, signature and date and write the statement 'I certify this is a true copy of the original document' on your photocopied original photo identification (see sample below):

"I certify this is a true copy of the original document"



Solicitor
Occupation

James Certifier
Full Name

J. Certifier
Signature

10/10/2016
Date

Payment Instructions

For settlement of all share transactions you must nominate to settle your trades either through a Commonwealth Direct Investment Account, OR we can arrange to direct debit/credit your nominated Bank Account. To settle by direct debit/credit, you need to complete your nominated bank account details in the Direct Debit Request section below.

Please ensure that your nominated bank account or Commonwealth Direct Investment Account is in the same name/s as your International Securities Trading Application.

Direct Debit Request

I/We authorise and request, CommSec (APCA User ID no. 062934 – Debit and 062895 - Credit) to arrange for funds to be debited from/credited to my/our account at the financial institution identified below and as prescribed below through the Bulk Electronic Clearing System (BECS). This authorisation is to remain in force in accordance with the terms described in the Direct Debit Request Service Agreement.

I/We authorise the following:

1. Commonwealth Securities Limited to verify the details of the account mentioned above with my/our Financial Institution.
2. The Financial Institution to release information allowing Commonwealth Securities Limited to verify the account details below.

BSB

Account Number

***Bank Account name must match trading account name being applied for**

Name of Financial Institution or Bank

Branch/Suburb

Account in the Name/s of

Risk Disclosure Statement for Trading International Securities & Client/Applicant(s) Acknowledgement and Signature(s)

ALL APPLICANTS

To invest in international securities, you should be an experienced investor. The risks involved with investment in international securities differ significantly from investment in securities quoted on the Australian Securities Exchange.

This Risk Disclosure Statement does not disclose all of the risks associated with investment in international securities. It should not be relied upon as a complete explanation of the risks involved. If you need further explanation regarding investment in international markets, you should seek appropriate professional advice.

Foreign Currency Risk

Your international securities will be denominated in a currency other than Australian dollars. You should therefore understand the risks associated with foreign currency exchange when purchasing international securities. Adverse foreign exchange rate movements may more than offset security price gains. The following illustrates how the A\$ value of your investment may change with foreign exchange rate fluctuations.

- You buy 100 shares at US\$50 per share for a total value of US\$5,000.
- You purchase US\$5,000 at A\$/US\$0.6000 and your initial investment cost is A\$8,333.33.
- If the A\$/US\$ strengthens to 0.7000, the value of your investment falls to A\$7,142.86 assuming no change in the price of the shares.
- If the A\$/US\$ weakens to 0.5000, the value of your investment rises to A\$10,000 assuming no change in the price of the shares.

You can see from this example that as the A\$ strengthens against US\$, the A\$ value of your US shares will fall. Of course the reverse applies – as the A\$ weakens against US\$, the A\$ value of your US shares will rise.

International Custodian

Your international securities will be held in the custody of an International Custodian, appointed by us as set out in the International Securities Terms and Conditions. Securities in the custody of an International Custodian may be subject to risk arising from change to the political, legal, regulatory or commercial environment of the country of the Custodian or International Stock Exchange.

Whilst we will use reasonable care in selecting International Custodians, we shall not be liable for any losses incurred by you by reason of any act, omission, fraud or negligence of any International Custodian in relation to the holding of or dealing with your international securities.

Political And Regulatory Risk

Trading conditions and your ability to buy and sell securities in a foreign market may be affected by political risk and regulatory risk in the country in which the overseas market operates. Political risk is sudden changes in laws and regulations imposed by the government, sometimes on short notice, and sometimes on a temporary basis. Regulatory risk is the risk that standards of conduct and disclosure in the overseas market may differ from standards of disclosure and conduct that apply to securities quoted on the Australian Securities Exchange. For example risks will be higher where companies are able to list on a foreign market with less initial and ongoing regulatory requirements than on the Australian Securities Exchange. As a result securities traded on such a foreign market may be susceptible to high volatility and there are no assurances that there will be a liquid market for your investments.

Australia, as a member state of the United Nations, is obliged to implement United Nations Security Council sanctions. Australia also may be required to implement other international sanctions and sometimes imposes unilateral sanctions. Sanctions can cover various subject matters including financial restrictions. Consequently, CommSec may be prohibited from dealing with certain persons or entities.

This means that if CommSec is aware that you are a proscribed person or entity, then CommSec may be required to suspend, cancel or refuse you services or close or terminate any account, facility, transaction, arrangement or agreement with you. We may also be required to freeze your assets. You could incur significant costs as a result of these actions.

Taxation

CommSec does not provide taxation advice.

We recommend you obtain professional taxation advice to evaluate whether an investment in international securities is appropriate to your particular financial circumstances.

In Summary

You and your advisers should be aware of the following additional acknowledgements you are making by signing this risk statement. You acknowledge:

- that investing in international securities carries risks, including but not limited to:
 - gains from security price movements can be more than off-set by adverse foreign exchange movements;
 - intra-day price movements on international security and currency exchanges can be relatively volatile;
 - strong past performances are not necessarily indicative of future performance;
 - taxation implications can be different from investing in Australian securities and may vary depending on your individual circumstances;
 - that you accept without limitations all risk associated with investing in international securities and the holding of your international securities by an International Custodian.
 - that CommSec does not give personalised advice, make personal securities recommendations or provide any foreign exchange or taxation advice, and that it is your own responsibility to assess and evaluate proposed transactions in international securities in the light of your financial situation, investment objectives and particular needs; and
 - that CommSec recommends you seek appropriate professional advice before investing in international securities.
- If the application is accepted, I/we agree and confirm the payment instructions set out in Section 7 and agree to be bound by the Direct Debit Request Service Agreement.
 - I/We agree to continue to be bound by the International Securities Trading Terms and Conditions as though entered into between me/us and CommSec. The International Securities Terms and Conditions can be found on the CommSec website under Support > Forms & Brochures > International.
 - I/We consent to the collection, use and exchange of my/our personal information as set out in the 'Customer Information and Privacy' section of the International Securities Trading Terms and Conditions.
 - I/We acknowledge that we have received a Risk Disclosure Statement for Trading International Securities relating to the risks of investing in International Securities and that I/we have read and understood its contents (Section 8).
 - I/We acknowledge neither CommSec or its Brokers give personalised advice, make personal securities recommendations or provide any foreign exchange or taxation advice, and that it is my/our own responsibility to assess and evaluate proposed transactions in International Securities in the light of my/our financial situation, investment objectives and particular needs. I/We acknowledge that CommSec and its Brokers recommend that I/we seek professional advice before investing in International Securities.

Risk Disclosure Statement for Trading International Securities & Client/Applicant(s) Acknowledgement and Signature(s)

ALL APPLICANTS

- I/We are not commonly known by any names different to those disclosed in this application form.
- I/We understand and acknowledge that the law requires signatories to provide true and correct information and state all the names by which they are commonly known. I also understand that the law prohibits the use of false names, as well as the giving, use or production of false or misleading information or documents in connection with the provision of financial services and the making, possession or use of a false document in connection with an identification procedure.
- I/We declare that the details as shown on this form are complete and correct.
- I/We have obtained the consent of any individual/s whose personal information is provided in this application. They have authorised the collection, use or exchange of their information in accordance with Group Privacy Policy.

Director 1/Trustee 1

Name of Director 1/Trustee 1

Signature of Director 1/Trustee 1

x

Date

DD / MM / YYYY

Director 2/Trustee 2

Name of Director 2/Trustee 2

Signature of Director 2/Trustee 2

x

Date

DD / MM / YYYY

Director 3/Trustee 3

Name of Director 3/Trustee 3

Signature of Director 3/Trustee 3

x

Date

DD / MM / YYYY

Director 4/Trustee 4

Name of Director 4/Trustee 4

Signature of Director 4/Trustee 4

x

Date

DD / MM / YYYY

International Securities Trading- SMSF & Trust Application

ALL APPLICANTS

How To Complete Your W-8BEN-E Form & Trustee Certification Form

The U.S. Department of Treasury I.R.S. Form W-8BEN-E (Certificate of Foreign Status of Beneficial Owner for United States Tax Withholding) must be completed by clients operating International Securities Trading Accounts. One Trustee completes a W-8BEN-E form in the name of the Trust. All Trustees complete and sign the Trustee Certification form on pages 30 and 31.

Expiration of Form W-8BEN-E.

The W-8BEN-E will remain in effect for a period starting on the date the form is signed and ending on the last day of the third succeeding calendar year, unless a change of circumstances makes any information on the form incorrect.

NOTE: No alterations or liquid paper (correction fluid) on the W-8BEN-E. Crossing out or scribbling are NOT accepted and will lead to rejection of the form.

DO NOT complete this form if you are a U.S. citizen or green card holder.

Please note that you have obligations under U.S. tax laws to provide information necessary for U.S. tax withholding and reporting requirements. The information below has been provided to assist you with your obligations, however, you should ensure that you understand your obligations before completing and returning the forms to CommSec. CommSec is unable to provide you with advice in relation to your obligations or the U.S. tax consequences of your investment. **For further advice please contact the I.R.S. or your U.S. tax adviser. To view I.R.S. instructions on completing these forms go to www.irs.gov/formw8bene**

Account Type	Form	Sections to Complete	Guidelines on completion
Trust Account	W-8BEN -E	Part I: 1, 2, 4, 5, 6, 7, 8, 9a and 9b Part III: 14 a and b Part XXV: 39, OR XXVI: 40a, plus b or c if applicable Sign Part XXX	See SAMPLE form on pages 22 and 23. Only one Trustee is required to complete a W-8BEN-E for a Family Trust. <ul style="list-style-type: none"> Part I, Question 1 – name of Trust (Do NOT abbreviate the trust name. It must be as it appears on the Trust Deed). Question 2 – country where Trust fund established. Question 4 – for administration purposes we can only process Trust applications where the applicant can select the Complex trust box. Question 5 – Tick Active NFFE if meet criteria as defined in Part XXV, OR Tick Passive NFFE if meet criteria as defined in Part XXVI. Question 6 – must be a residential address and match the residential address on the CommSec application form. Question 7 – Insert mailing address, (if address is not the same as the residential address) and must match mailing address on the CommSec application. Question 8 – Complete if applicable. Question 9a – Provide GIIN if you are a financial institution registered with the IRS. Question 9b – If you have an ABN, write your ABN in the space provided. Part III, Question 14 - for administration purposes we can only process Trust applications where the applicant can select 'a' and 'b'. Please also complete the type of limitation. Trusts would generally tick "Other" and fall under "Article 16 (2)(g) – ownership/base erosion test". Part XXV – Tick Question 39 if Active NFFE selected in Part I, Question 5. Part XXVI – If Passive NFFE tick 40a. Select 'b' or 'c' as applicable. Sign Part XXX, print name and date in U.S. date format (MM/DD/YYYY). Signature by authorised representative (Trustee). Tick to certify that you can sign on behalf of the entity in line 1 of the form.
SMSF's	W-8BEN-E	Part I: 1, 2, 4, 5, 6, 7, 8, 9a and 9b Part III: 14 a and b Part XII: 26 Sign Part XXX	<ul style="list-style-type: none"> See SAMPLE form on pages 24 and 25. Only one Trustee is required to complete a W-8BEN-E. Part I, Question 1 – name of SMSF (Do NOT abbreviate the name, must be as appears on Trust Deed). Question 2 – country where SMSF established. Question 4 – for administration purposes we can only process SMSF applications where the applicant can select the Complex trust box. Question 5 – Nonreporting IGA FFI Question 6 – must be a residential address and match the residential address on the CommSec application form. Question 7 – Insert mailing address, (if address is not the same as the residential address) and must match mailing address on the CommSec application. Question 8 – Complete if applicable. Question 9a – Provide GIIN if you are a financial institution registered with the IRS. Question 9b – If you have an ABN, tick 9b and write your ABN in the space provided. Part III, Question 14 – for administration purposes we can only process Trust applications where the applicant can select 'a' and 'b'. Please also complete the type of limitation. SMSFs would generally tick "Other" and fall under "Article 16 (2)(g) – ownership/base erosion test". Part XII - Question 26 - Tick Question 26 if you are a resident of Australia and meet the requirements of a Nonreporting IGA FFI and your Superannuation Fund is treated as an Australian Retirement Fund. If you meet these requirements enter 'Australia' and 'Australian Retirement Fund' as shown on the sample form for SMSFs. Sign Part XXX print name and date in U.S. date format (MM/DD/YYYY). Tick to certify that you can sign on behalf of the entity in line 1 of the form.
Corporate Trust Account	W-8BEN-E	As per Trust Account instructions	<ul style="list-style-type: none"> Only one Trustee is required to complete a W-8BEN-E for a Corporate Trust or SMSF. Part I, Question 6 – must be the Corporate Trust address as listed on the ASIC register. Part XXX – Trustee to sign & date in U.S. date format (MM/DD/YYYY) (Trustee = Director with signing authority for the Corporate Trust).
Corporate SMSF Account	W-8BEN-E	Refer to SMSF Account instructions above.	<ul style="list-style-type: none"> For Part I, Question 6 and Part XXX refer to Corporate Trust Account instructions above. Ensure you complete all sections and all Trustees sign the document. See Sample on pages 24 and 25. (Trustees = Directors with signing authority for the Corporate Trust)
Trust & SMSF Accounts	Trustee Certification	All Sections	<ul style="list-style-type: none"> Ensure you complete all sections and all Trustees sign the Trustee Certification form. See SAMPLE forms on pages 26 and 27 for individual trustees and pages 28 and 29 for corporate trustees. For corporate trusts, Trustees are the Directors with signing authority for the Corporate Trust.

SAMPLE W-8BEN-E – TYPICAL TRUST

- X DO NOT use Liquid Paper anywhere on the form
- X DO NOT alter, cross out or scribble anywhere on the form. Use a new form instead
- X DO NOT complete this form if you are a U.S. citizen or green card holder
- X DO NOT abbreviate the name of the Trust.

Form W-8BEN-E (Rev. July 2017) Department of the Treasury Internal Revenue Service	Certificate of Status of Beneficial Owner for United States Tax Withholding and Reporting (Entities) ▶ For use by entities. Individuals must use Form W-8BEN. ▶ Section references are to the Internal Revenue Code. ▶ Go to www.irs.gov/FormW8BENE for instructions and the latest information. ▶ Give this form to the withholding agent or payer. Do not send to the IRS.	OMB No. 1545-1621
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Name of Trust as listed in the Trust Deed (Do not abbreviate name).

SCHEDULE	
1. Date of this Deed	1 st July 2008
2. Name of the Trust	Citizen Family Trust
3. Name & address of the Settlor	Joe Bloggs 7 Sample Street, KEW_VIC, 3101
4. Trustee Details Name and Address:	John Colin Citizen 1 Sample Street, KEW_VIC, 3101
5. Beneficiary Details Name and Address:	John Colin Citizen 1 Sample Street, KEW_VIC, 3101

Part I Identification of Beneficial Owner

1 Name of organization that is the beneficial owner CITIZEN FAMILY TRUST	2 Country of incorporation or organization AUSTRALIA
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3 Name of disregarded entity receiving the payment (if applicable, see instructions)

4 Chapter 3 Status (entity type) (Must check one box only):

Simple trust Grantor trust Corporation
 Central Bank of Issue Tax-exempt organization Complex trust Private foundation

For admin purposes we can only accept Trust applications where the applicant selects 'Complex trust'.

5 Chapter 4 Status (FATCA status) (See instructions for details and complete the certification below for the entity's applicable status.)

Nonparticipating FFI (including an FFI related to a Reporting IGA FFI other than a deemed-compliant FFI, participating FFI, or exempt beneficial owner).
 Nonreporting IGA FFI. Complete Part XII.
 Foreign government, government of a U.S. possession, or foreign central bank of issue. Complete Part XIII.
 Participating FFI.
 International organization. Complete Part XIV.
 Reporting Model 1 FFI.
 Exempt retirement plans. Complete Part XV.
 Reporting Model 2 FFI.
 FFI that is a trust or other entity that is not a trust or other entity. Complete Part XVI.
 Registered deemed-compliant FFI (other than a trust or other entity). Complete Part XVII.
 FFI, sponsored FFI, or nonreporting IGA FFI of a trust or other entity. Complete Part XVIII.
 See instructions.
 Sponsored FFI. Complete Part IV.
 Company. Complete Part XIX.
 Certified deemed-compliant nonregistering local FFI. Complete Part V.
 501(c) organization. Complete Part XXI.
 Certified deemed-compliant FFI with only low-value accounts. Complete Part VI.
 Nonprofit organization. Complete Part XXII.
 Certified deemed-compliant sponsored, closely held investment vehicle. Complete Part VII.
 Publicly traded NFFE or NFFE affiliate of a publicly traded corporation. Complete Part XXIII.
 Excepted territory NFFE. Complete Part XXIV.
 Active NFFE. Complete Part XXV.
 Passive NFFE. Complete Part XXVI.
 Excepted inter-affiliate FFI. Complete Part XXVII.
 Direct reporting NFFE.
 Sponsored direct reporting NFFE. Complete Part XXVIII.
 Owner-documented FFI. Complete Part X.
 Account that is not a financial account.
 Restricted distributor. Complete Part XI.

Select EITHER Active NFFE or Passive NFFE. Refer to Part XXV and XXVI to determine the Chapter 4 Status of your Company.

Applies to Section 6 only.

6 Permanent residence address (street, apt. or suite no., or rural route) **Do not use a P.O. box or in-care-of address (other than a registered address).**

1 SAMPLE STREET
 City or town, state or province. Include postal code where appropriate.
KEW VIC 3101 Country **AUSTRALIA**

7 Mailing address (if different from above)
PO BOX 11
 City or town, state or province. Include postal code where appropriate.
KEW VIC 3101 Country **AUSTRALIA**

Question 9b - Complete if you have an ABN.

8 U.S. taxpayer identification number (TIN), if required 9a GIIN b Foreign TIN

10 Reference number(s) (see instructions)

Note: Please complete remainder of the form including signing the form in Part XXX.
For Paperwork Reduction Act Notice, see separate instructions. Cat. No. 59689N Form **W-8BEN-E** (Rev. 7-2017)

For new accounts leave blank.

THE FOLLOWING PARTS ARE THE REQUIRED SECTIONS WITH CORRESPONDING PAGE NUMBERS TO BE COMPLETED FOR TRUST ACCOUNTS, EXTRACTED FROM THE 8-PAGE W-8BEN-E FORM

Part III Claim of Tax Treaty Benefits (if applicable). (For chapter 3 purposes only.)

14 I certify that (check all that apply):

- a** The beneficial owner is a resident of AUSTRALIA within the meaning of the income tax treaty between the United States and that country.
- b** The beneficial owner derives the item (or items) of income for which the treaty benefits are claimed, and, if applicable, meets the requirements of the treaty provision dealing with limitation on benefits. The following are types of limitation on benefits provisions that may be included in an applicable tax treaty (check only one; see instructions):
 - Government
 - Tax exempt pension trust or pension fund
 - Other tax exempt organization
 - Publicly traded corporation
 - Subsidiary of a publicly traded corporation
 - Company that meets the ownership and base erosion test
 - Company that meets the derivative benefits test
 - Company with an item of income that meets active trade or business test
 - Favorable discretionary determination by the U.S. competent authority received
 - Other (specify Article and paragraph): **Article 16 (2)(g) - ownership/base erosion test**
- c** Other (specify Article and paragraph) _____

For admin purposes we can only process applications where the applicant selects both 'a' and 'b'.

Trusts generally need to tick "Other" and specify "Article 16 (2)(g) - ownership/base erosion test".

Tick Part XXV 39 if Active NFFE selected in Part I. 5.

Part XXV Active NFFE

- 39** I certify that:
- The entity identified in Part I is a foreign entity that is not a financial institution;
 - Less than 50% of such entity's gross income for the preceding calendar year is passive income; **and**
 - Less than 50% of the assets held by such entity are assets that produce or are held for the production of passive income (calculated as a weighted average of the percentage of passive assets measured quarterly) (see instructions for the definition of passive income).

Part XXVI Passive NFFE

- 40a** I certify that the entity identified in Part I is a foreign entity that is not a financial institution (other than an investment entity organized in a possession of the United States) and is not a traded NFFE (or affiliate), excepted territory NFFE, active NFFE, direct reporting NFFE, or sportsman NFFE.

If Passive NFFE tick 40a. Select 'b' or 'c' as applicable.

- Check box 40b or 40c, whichever applies.**
- b** I further certify that the entity identified in Part I has no substantial U.S. owners (or, if applicable, no controlling U.S. persons); **or**
 - c** I further certify that the entity identified in Part I has provided the name, address, and TIN of each substantial U.S. owner (or, if applicable, controlling U.S. person) of the NFFE in Part XXIX.

Part XXX Certification

Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. I further certify under penalties of perjury that:

- The entity identified on line 1 of this form is the beneficial owner of all the income to which this form relates, is using this form to certify its status for chapter 4 purposes, or is a merchant submitting this form for purposes of section 6050W;
- The entity identified on line 1 of this form is not an exempt foreign person;
- The income to which this form relates is not effectively connected with the conduct of a trade or business in the United States, (b) effectively connected but is not derived from a partnership's effectively connected income, and (c) is not derived from a partnership that has control, receipt, or disposition of the income of which the entity is an exempt foreign person.

Confirm that you have capacity to sign for entity.

Signed by authorised representative, such as Trustee / Director.

Print name of Signer Including middle name.

U.S. Date Format MM/DD/YYYY.

Sign Here | Signature of individual authorized to sign for beneficial owner | Print Name | Date (MM-DD-YYYY)

I certify that I have the capacity to sign for the entity identified on line 1 of this form.

SAMPLE W-8BEN-E – TYPICAL SUPERANNUATION FUND (SMSF)

- X DO NOT use Liquid Paper anywhere on the form
- X DO NOT alter, cross out or scribble anywhere on the form. Use a new form instead
- X DO NOT complete this form if you are a U.S. citizen or green card holder.

Form W-8BEN-E (Rev. July 2017) Department of the Treasury Internal Revenue Service	Certificate of Status of Beneficial Owner for United States Tax Withholding and Reporting (Entities) ▶ For use by entities. Individuals must use Form W-8BEN. ▶ Section references are to the Internal Revenue Code. ▶ Go to www.irs.gov/FormW8BENE for instructions and the latest information. ▶ Give this form to the withholding agent or payer. Do not send to the IRS.	OMB No. 1545-1621
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Do NOT use this form for: • U.S. citizens or green card holders. • A foreign trust, partnership, or other entity claiming a U.S. possession claiming that income is effectively connected U.S. income. • Any person acting as an intermediary (including a qualified intermediary acting as a d...	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th colspan="2" style="text-align: center;">SCHEDULE</th> </tr> <tr> <td>1. Date of this Deed</td> <td>1st July 2008</td> </tr> <tr> <td>2. Name of the Trust</td> <td>Citizen Family Trust</td> </tr> <tr> <td>3. Name & address of the Settlor</td> <td>Joe Bloggs 7 Sample Street, KEW_VIC, 3101</td> </tr> <tr> <td>4. Trustee Details</td> <td>Name and Address: John Colin Citizen 1 Sample Street, KEW_VIC, 3101</td> </tr> <tr> <td>5. Beneficiary Details</td> <td>Name and Address: John Colin Citizen 1 Sample Street, KEW_VIC, 3101</td> </tr> </table>	SCHEDULE		1. Date of this Deed	1 st July 2008	2. Name of the Trust	Citizen Family Trust	3. Name & address of the Settlor	Joe Bloggs 7 Sample Street, KEW_VIC, 3101	4. Trustee Details	Name and Address: John Colin Citizen 1 Sample Street, KEW_VIC, 3101	5. Beneficiary Details	Name and Address: John Colin Citizen 1 Sample Street, KEW_VIC, 3101
SCHEDULE													
1. Date of this Deed	1 st July 2008												
2. Name of the Trust	Citizen Family Trust												
3. Name & address of the Settlor	Joe Bloggs 7 Sample Street, KEW_VIC, 3101												
4. Trustee Details	Name and Address: John Colin Citizen 1 Sample Street, KEW_VIC, 3101												
5. Beneficiary Details	Name and Address: John Colin Citizen 1 Sample Street, KEW_VIC, 3101												

Name of Superannuation Fund (SMSF) as listed in the Trust Deed (do not abbreviate name)

Part I Identification of Beneficial Owner	
1 Name of organization that is the beneficial owner CITIZEN SUPERANNUATION FUND	2 Country of incorporation or organization AUSTRALIA

3 Name of disregarded entity receiving the payment (if applicable, see instructions)	
4 Chapter 3 Status (entity type) (Must check one box only): <input type="checkbox"/> Simple trust <input type="checkbox"/> Grantor trust <input type="checkbox"/> Central Bank of Issue <input type="checkbox"/> Tax-exempt organization <input type="checkbox"/> Private foundation <input checked="" type="checkbox"/> Complex trust	For admin purposes we can only accept SMSFs applications where the applicant selects 'Complex trust'

5 Chapter 4 Status (FATCA status) (See instructions for details and complete the certification below for the entity's applicable status.) <input type="checkbox"/> Nonparticipating FFI (including an FFI related to a Reporting IGA FFI other than a deemed-compliant FFI, participating FFI, or exempt beneficial owner) <input type="checkbox"/> Participating FFI <input type="checkbox"/> Reporting Model 1 FFI <input type="checkbox"/> Reporting Model 2 FFI <input type="checkbox"/> Registered deemed-compliant FFI, sponsored FFI, or See instructions. <input type="checkbox"/> Sponsored FFI. Complete Part IV. <input type="checkbox"/> Certified deemed-compliant nonregistering local bank. Complete Part V. <input type="checkbox"/> Certified deemed-compliant FFI with only low-value accounts. Complete Part VI. <input type="checkbox"/> Certified deemed-compliant sponsored, closely held investment vehicle. Complete Part VII. <input type="checkbox"/> Certified deemed-compliant FFI. Complete Part VIII. <input type="checkbox"/> Certain investment entities. Complete Part IX. <input type="checkbox"/> Owner-documented FFI. Complete Part X. <input type="checkbox"/> Restricted distributor. Complete Part XI.	<input type="checkbox"/> Nonreporting IGA FFI. Complete Part XII. <input type="checkbox"/> Foreign government, government of a U.S. possession, or foreign central bank of issue. Complete Part XIII. <input type="checkbox"/> International organization. Complete Part XIV. <input type="checkbox"/> Exempt retirement plans. Complete Part XV. <input type="checkbox"/> Entity wholly owned by exempt beneficial owners. Complete Part XVI. <input type="checkbox"/> Territory financial institution. Complete Part XVII. <input type="checkbox"/> Excepted nonfinancial group entity. Complete Part XVIII. <input type="checkbox"/> Excepted nonfinancial start-up company. Complete Part XIX. <input type="checkbox"/> Excepted nonfinancial entity in liquidation or bankruptcy. Complete Part XX. <input type="checkbox"/> 501(c) organization. Complete Part XXI. <input type="checkbox"/> Nonprofit organization. Complete Part XXII. <input type="checkbox"/> Publicly traded NFFE or NFFE affiliate of a publicly traded corporation. Complete Part XXIII. <input type="checkbox"/> Excepted territory NFFE. Complete Part XXIV. <input type="checkbox"/> Active NFFE. Complete Part XXV. <input type="checkbox"/> Passive NFFE. Complete Part XXVI. <input type="checkbox"/> Excepted inter-affiliate FFI. Complete Part XXVII. <input type="checkbox"/> Direct reporting NFFE. <input type="checkbox"/> Sponsored direct reporting NFFE. Complete Part XXVIII. <input type="checkbox"/> Account that is not a financial account.
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Australian Superannuation Funds are considered a 'Nonreporting IGA FFI' under Chapter 4 Status

Applies to Section 6 only.

6 Permanent residence address (street, apt. or suite no., or rural route) 1 SAMPLE STREET City or town, state or province. Include postal code where appropriate. KEW VIC 3101	Do not use a P.O. box or in-care-of address (other than a registered address). Country AUSTRALIA
7 Mailing address (if different from above) PO BOX 11 City or town, state or province. Include postal code where appropriate. KEW VIC 3101	Country AUSTRALIA
8 U.S. taxpayer identification number (TIN), if required 9a GIIN	b Foreign TIN
10 Reference number(s) (see instructions)	

Question 9b - Complete if you have an ABN.

For new accounts leave blank.

Note: Please complete remainder of the form including signing the form in Part XXX.
 For Paperwork Reduction Act Notice, see separate instructions. Cat. No. 59689N Form **W-8BEN-E** (Rev. 7-2017)

THE FOLLOWING PARTS ARE THE REQUIRED SECTIONS WITH CORRESPONDING PAGE NUMBERS TO BE COMPLETED FOR SMSF ACCOUNTS, EXTRACTED FROM THE 8-PAGE W-8BEN-E FORM

Part III Claim of Tax Treaty Benefits (if applicable). (For chapter 3 purposes only.)

- 14 I certify that (check all that apply):
- a The beneficial owner is a resident of AUSTRALIA within the meaning of the income tax treaty between the United States and that country.
- b The beneficial owner derives the item (or items) of income for which the treaty benefits are claimed, and, if applicable, meets the requirements of the treaty provision dealing with limitation on benefits. The following are types of limitation on benefits provisions that may be included in an applicable tax treaty (check only one; see instructions):
- | | |
|--|---|
| <input type="checkbox"/> Government | <input type="checkbox"/> Company that meets the ownership and base erosion test |
| <input type="checkbox"/> Tax exempt pension trust or pension fund | <input type="checkbox"/> Company that meets the derivative benefits test |
| <input type="checkbox"/> Other tax exempt organization | <input type="checkbox"/> Company with an item of income that meets active trade or business test |
| <input type="checkbox"/> Publicly traded corporation | <input type="checkbox"/> Favorable discretionary determination by the U.S. competent authority received |
| <input type="checkbox"/> Subsidiary of a publicly traded corporation | <input checked="" type="checkbox"/> Other (specify Article and paragraph): <u>Article 16 (2)(g) - ownership/base erosion test</u> |
- c Trust for U.S. source dividends received from a foreign corporation or interest from a U.S. trade or business qualified resident status (see instructions).

For admin purposes we can only process applications where the applicant selects both 'a' and 'b'.

Trusts generally need to tick "Other" and specify "Article 16 (2)(g) - ownership/base erosion test".

Part XII - Tick Question 26 if you are a resident of Australia and meet the requirements of a Nonreporting IGA FFI and your Superannuation Fund is treated as an Australian Retirement Fund - complete all details as shown.

Part XII Nonreporting IGA FFI

- 26 I certify that the entity identified in Part I:
- Meets the requirements to be considered a nonreporting financial institution pursuant to an applicable IGA between the United States and AUSTRALIA. The applicable IGA is a Model 1 IGA or a Model 2 IGA; and is treated as a AUSTRALIAN RETIREMENT FUND under the provisions of the applicable IGA or Treasury regulations (if applicable, see instructions);
 - If you are a trustee documented trust or a sponsored entity, provide the name of the trustee or sponsor. The trustee is: U.S. Foreign

Tick "Model 1 IGA".

Part XXX Certification

Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. I further certify under penalties of perjury that:

- The entity identified on line 1 of this form is the beneficial owner of all the income to which this form relates, is using this form to certify its status for chapter 4 purposes, or is a merchant submitting this form for purposes of section 6050W;
 - The entity identified on line 1 of this form is not an exempt foreign person;
 - The income to which this form relates is not derived from the conduct of a trade or business in the United States, (b) effectively connected but is not an exempt foreign person, or (c) is an exempt foreign person's share of a partnership's effectively connected income; and
- I agree that I will submit a new form within 30 days if any certification on this form becomes incorrect.

Confirm that you have capacity to sign for entity.

Signed by authorised representative, such as Trustee / Director.

Print name of Signer Including middle name.

U.S. Date Format MM/DD/YYYY.

Sign Here

Signature of individual authorized to sign for beneficial owner

Print Name

Date (MM-DD-YYYY)

I certify that I have the capacity to sign for the entity identified on line 1 of this form.

Trustee Certification

Commonwealth Securities Limited
ABN 60 067 254 399 AFSL 238814 (CommSec)
A Participant of the ASX Group and Chi-X Australia

CommSec International Trading Desk
Reply paid 60768, Australia Square NSW 1214
Phone: 1300 361 170 Fax: 1300 369 526
commsec.com.au
usshares@commsec.com.au

IMPORTANT INFORMATION

READ BEFORE PROCEEDING

Return completed form to:

CommSec International Trading Desk
Reply Paid 60768
Australia Square NSW 1214

Or alternatively email your completed application and supporting documents to: commsecinternationaldesk@cba.com.au

ACCOUNT INFORMATION

A

COMMONWEALTH SECURITIES LIMITED

ACCOUNT NUMBER:

0 A C - [] [] [] [] [] [] [] [] []

When completing this form, please answer all questions and print the names of all Trustees clearly in full. All questions in their entirety, please have ALL questions in their entirety, please have ALL valid substitution for your Trust Agreement.

For SMSF's the members can be regarded as the Settlor.

For Family Trusts the Settlor or Founder should always be shown on the Trust Deed and could be a lawyer, accountant or person outside the Trust.

PLEASE BE ADVISED THAT WE WILL

Do not abbreviate the Title of the Trust. Must match Part I of W-8BEN-E form.

TRUST

THE TITLE OF THE TRUST TO WHICH THIS CERTIFICATION APPLIES IS:

CITIZEN J & J SUPERANNUATION FUND

(If applicable date of last change, if no change put N/A).

EFFECTIVE DATE OF TRUST:

1ST JULY 2008

LATEST AMENDMENT OR RESTATEMENT DATE:

THE GRANTOR(S), SETTLOR(S) OR TESTATOR(S) WHO ESTABLISHED THE TRUST IS/ARE:

JOHN COLIN CITIZEN

TRUST TYPE:

SELF MANAGED SUPER FUND

FAMILY

UNIT

REVOCABLE

IRREVOCABLE

GOVERNING STATE LAW:

VICTORIA

Where the Deed was drafted.

U.S. TAX ID/SOCIAL SECURITY NUMBER:

[] [] [] [] [] [] [] [] [] [] [] [] [] [] [] [] [] [] []

TRUST A.B.N.:

[] [] [] [] [] [] [] [] [] [] [] [] [] [] [] [] [] [] [] []

Include Trust ABN.

THERE ARE NO OTHER TRUSTEES OF THE TRUST OTHER THAN THE UNDERSIGNED.

PLEASE TICK ONE OF THE FOLLOWING:

The Trust Agreement explicitly authorizes each of the Commonwealth Securities Limited has the authority to act on behalf of the Trust account, acting alone, and may execute any documents or agreements on behalf of the Trust account without the approval of the other Trustees. relative to the Trust account from any one of these Trustees, Commonwealth Securities Limited may require.

Only applicable to U.S. citizens or greencard holders.

NOTE: Although PERSHING may allow the Trustee(s) to act individually, under certain circumstances, in their discretion Commonwealth Securities Limited or Commonwealth Securities Limited may require Co-Trustees be obtained.

Compulsory to tick one of the two boxes below.

The Trust Agreement DOES NOT authorise the trustees to act individually on behalf of the Trust account.

TRUSTEE NAME 1: (PLEASE PRINT)

JOHN COLIN CITIZEN

TRUSTEE NAME 2: (PLEASE PRINT)

JANE CAROL CITIZEN

TRUSTEE ADDRESS: (PLEASE PRINT)

1 SAMPLE STREET, KEW

TRUSTEE ADDRESS: (PLEASE PRINT)

1 SAMPLE STREET, KEW

STATE VIC POSTCODE 3101

STATE VIC POSTCODE 3101

(continued next page)

Trustee Certification

TRUST INFORMATION (CONTINUED) B

TRUSTEE NAME 3: (PLEASE PRINT)

TRUSTEE NAME 4: (PLEASE PRINT)

TRUSTEE ADDRESS: (PLEASE PRINT)

TRUSTEE ADDRESS: (PLEASE PRINT)

STATE

POSTCODE

STATE

POSTCODE

SUCCESSOR TRUSTEE(S) NAME(S):

TRUSTEE(S) INDEMNIFICATION AND SIGNATURES (PLEASE READ AND SIGN BELOW) C

The undersigned Trustees jointly and severally indemnify and hold Commonwealth Securities Limited and PERSHING, and each of their affiliates, officers, directors, employees, agents, successors or assigns harmless (including reasonable attorney's fees) from any claims, judgments, expenses, liabilities or costs of defense or settlement arising out of or in connection with the Trust, or from any representation or warranty made herein, or from effecting any transfers or transactions pursuant to instructions given by any of the Trustees or Successor Trustees listed above, or any actual improper or unsuitable action resulting from instructions given to Commonwealth Securities Limited or PERSHING by any of the Trustees. This indemnification is made by us both in our capacities as Trustees and in our individual capacities. We certify that the Trust is currently in existence, had not been revoked, modified or amended in any manner that would cause the certifications herein to be incorrect and, we agree to inform Commonwealth Securities Limited IMMEDIATELY in writing of any amendment to the Trust, any change in the composition of the Trustees, or any other event which could alter the certifications made above. We acknowledge Commonwealth Securities Limited or PERSHING's right to examine the Trust Agreement and hereby agree to provide Commonwealth Securities Limited or PERSHING with a copy of the Trust Agreement if so requested. (Where applicable, plural references in this certification shall be deemed singular.)

If unknown leave blank

TRUSTEE NAME 1:

JOHN COLIN CITIZEN

TRUSTEE NAME 2:

JANE CAROL CITIZEN

SIGNATURE:

x *John Colin Citizen*

SIGNATURE:

x *Jane Carol Citizen*

DATE

DD / MM / YYYY

DATE

DD / MM / YYYY

TRUSTEE NAME 3:

TRUSTEE NAME 4:

SIGNATURE:

x

SIGNATURE:

x

DATE

DD / MM / YYYY

DATE

DD / MM / YYYY

Trustee Certification

Commonwealth Securities Limited
 ABN 60 067 254 399 AFSL 238814 (CommSec)
 A Participant of the ASX Group and Chi-X Australia

CommSec International Trading Desk
 Reply paid 60768, Australia Square NSW 1214
 Phone: 1300 361 170 Fax: 1300 369 526
 commsec.com.au
 usshares@commsec.com.au

IMPORTANT INFORMATION

READ BEFORE PROCEEDING

Return completed form to:

CommSec International Trading Desk
 Reply Paid 60768
 Australia Square NSW 1214

Or alternatively email your completed application and supporting documents to: commsecinternationaldesk@cba.com.au

ACCOUNT INFORMATION

A

COMMONWEALTH SECURITIES LIMITED

ACCOUNT NUMBER:

0 A C - [] [] [] [] [] [] [] [] [] []

When completing this form, please answer all questions and print the names of all Trustees clearly in the section indicated on the first page. After answering all questions in their entirety, please have ALL Trustees sign and stamp the form as a valid substitution for your Trust Agreement, the original of which must be retained by the Trust.

PLEASE BE ADVISED THAT WE WILL NOT

For SMSF's the members can be regarded as the Settlor.

For Family Trusts the Settlor or Founder should always be shown on the Trust Deed and could be a lawyer, accountant or person outside the Trust.

Do not abbreviate the Title of the Trust. Must match Part I of W-8BEN-E form.

TRUST INFORMATION

B

THE TITLE OF THE TRUST TO WHICH THIS CERTIFICATION APPLIES IS:

CITIZEN J & J SUPERANNUATION FUND

EFFECTIVE DATE OF TRUST:

1ST JULY 2008

LATEST AMENDMENT OR RESTATEMENT DATE:

(If applicable date of last change, if no change put N/A).

THE GRANTOR(S), SETTLOR(S) OR TESTATOR(S) WHO ESTABLISHED THE TRUST IS/ARE:

JOHN COLIN CITIZEN

TRUST TYPE:

SELF MANAGED SUPER FUND

FAMILY

UNIT

REVOCABLE

IRREVOCABLE

GOVERNING STATE LAW:

VICTORIA

Where the Deed was drafted.

U.S. TAX ID/SOCIAL SECURITY NUMBER:

[] []

TRUST A.B.N.:

[] []

Include Trust ABN.

THERE ARE NO OTHER TRUSTEES OF THE TRUST OTHER THAN THOSE UNDERSIGNED.

PLEASE TICK ONE OF THE FOLLOWING:

The Trust Agreement explicitly authorizes each of the following: Commonwealth Securities Limited has the authority to accept orders and other instructions relative to the trust account from any one of these Trustees, acting alone, and they may execute any documents on behalf of the Trust which Commonwealth Securities Limited may require.

NOTE: Although the Trust Agreement may authorize the Trustees to act individually, under certain circumstances, in their discretion Commonwealth Securities Limited or its agents may require the approval of the other Trustees. Co-Trustees be obtained.

Compulsory to tick one of the two boxes below.

Only applicable to U.S. citizens or greencard holders.

The Trust Agreement DOES NOT authorise the trustees to act individually on behalf of the Trust account.

Enter name of Corporate Trustee.

TRUSTEE NAME 1: (PLEASE PRINT)

CITIZEN PTY LTD

TRUSTEE NAME 2: (PLEASE PRINT)

TRUSTEE ADDRESS: (PLEASE PRINT)

1 SAMPLE STREET, KEW

TRUSTEE ADDRESS: (PLEASE PRINT)

STATE VIC

POSTCODE 3101

(continued next page)

Trustee Certification

TRUST INFORMATION (CONTINUED) B

TRUSTEE NAME 3: (PLEASE PRINT)

TRUSTEE NAME 4: (PLEASE PRINT)

TRUSTEE ADDRESS: (PLEASE PRINT)

TRUSTEE ADDRESS: (PLEASE PRINT)

STATE

POSTCODE

STATE

POSTCODE

SUCCESSOR TRUSTEE(S) NAME(S):

TRUSTEE(S) INDEMNIFICATION AND SIGNATURES (PLEASE READ AND SIGN BELOW) C

The undersigned Trustees jointly and severally indemnify and hold Commonwealth Securities Limited and PERSHING, and each of their affiliates, officers, directors, employees, agents, successors or assigns harmless (including reasonable attorney's fees) from any claims, judgments, expenses, liabilities or costs of defense or settlement arising out of or in connection with the Trust, or from any representation or warranty made herein, or from effecting any transfers or transactions pursuant to instructions given by any of the Trustees or Successor Trustees listed above, or any actual improper or unsuitable action resulting from instructions given to Commonwealth Securities Limited or PERSHING by any of the Trustees. This indemnification is made by us both in our capacities as Trustees and in our individual capacities. We certify that the Trust is currently in existence, had not been revoked, modified or amended in any manner that would cause the certifications herein to be incorrect and, we agree to inform Commonwealth Securities Limited IMMEDIATELY in writing of any amendment to the Trust, any change in the composition of the Trustees, or any other event which could alter the certifications made above. We acknowledge Commonwealth Securities Limited or PERSHING's right to examine the Trust Agreement and hereby agree to provide Commonwealth Securities Limited or PERSHING with a copy of the Trust Agreement if so requested. (Where applicable, plural references in this certification shall be deemed singular.)

If unknown leave blank.

TRUSTEE/DIRECTOR NAME 1:

JOHN COLIN CITIZEN (as Director for Citizen Pty Ltd)

TRUSTEE/DIRECTOR NAME 2:

JANE CAROL CITIZEN (as Director for Citizen Pty Ltd)

SIGNATURE:

x *John Colin Citizen*

SIGNATURE:

x *Jane Carol Citizen*

DATE

DD / MM / YYYY

DATE

DD / MM / YYYY

TRUSTEE/DIRECTOR NAME 3:

TRUSTEE/DIRECTOR NAME 4:

SIGNATURE:

x

SIGNATURE:

x

DATE

DD / MM / YYYY

DATE

DD / MM / YYYY

Trustee Certification

Commonwealth Securities Limited
 ABN 60 067 254 399 AFSL 238814 (CommSec)
 A Participant of the ASX Group and Chi-X Australia

CommSec International Trading Desk
 Reply paid 60768, Australia Square NSW 1214
 Phone: 1300 361 170 Fax: 1300 369 526
 commsec.com.au
 usshares@commsec.com.au

Important Information

Read before proceeding

Return completed form to:

CommSec International Trading Desk
 Reply Paid 60768
 Australia Square NSW 1214

Or alternatively email your completed
 application and supporting documents to:
 commsecinternationaldesk@cba.com.au

Account Information

A

Commonwealth Securities Limited

Account Number:

0 A C -

When completing this form, please answer all questions and print the names of all Trustees clearly in the section indicated on the first page. After answering all questions in their entirety, please have ALL TRUSTEES sign in the appropriate area on the last page of the document. In order for this Trustee Certification to be a valid substitution for your Trust Agreement, this form MUST be completed in its entirety and must be signed by ALL trustees.

Please be advised that we will not be able to open your account without the information requested in this form.

Trust Information

B

The title of the Trust to which this certification applies is:

Effective Date of Trust:

Latest amendment or restatement date:

DD / MM / YYYY

The grantor(s), settlor(s) or testator(s) who established the Trust is/are:

Trust Type: Self Managed Super Fund Family Unit Revocable Irrevocable

GOVERNING STATE LAW:

U.S. Tax ID/Social Security Number:

Trust A.B.N.:

There are no other trustees of the Trust other than the undersigned.

Please Tick ONE of the following:

The Trust Agreement explicitly authorizes each of the following Trustees **to act individually without the approval of the other Trustees.** Commonwealth Securities Limited has the authority to accept orders and other instructions relative to the Trust account from any one of these Trustees, acting alone, and they may execute any documents on behalf of the Trust which Commonwealth Securities Limited may require.

note: Although the Trust may allow a trustee to act individually, under certain circumstances, in their discretion Commonwealth Securities Limited or PERSHING may require that the written approval of all Co-Trustees be obtained.

The Trust Agreement DOES NOT authorise the trustees to act individually on behalf of the Trust account.

Trustee Name 1: (Please Print)

Trustee Name 2: (Please Print)

Trustee Address: (Please Print)

Trustee Address: (Please Print)

STATE POSTCODE

STATE POSTCODE

(continued next page)

Trustee Certification

Trust Information (Continued)

B

Trustee Name 3: (Please Print)

Trustee Name 4: (Please Print)

Trustee Address: (Please Print)

Trustee Address: (Please Print)

STATE

POSTCODE

STATE

POSTCODE

Successor Trustee(S) Name(S):

Trustee(S) Indemnification And Signatures (Please Read And Sign Below)

C

The undersigned Trustees jointly and severally indemnify Commonwealth Securities Limited and PERSHING, and each of their affiliates, officers, directors, employees, agents, successors or assigns harmless from any liability (including attorney's fees) from any claims, judgments, expenses, liabilities or costs of defense or settlement arising out of or related to breach of any representation or warranty made herein, or from effecting any transfers or transactions pursuant to instructions given by any of the Trustees or Successor Trustees listed above, or any actual improper or unsuitable action resulting from instructions given to Commonwealth Securities Limited or PERSHING by any of the Trustees. This indemnification is made by us both in our capacities as Trustees and in our individual capacities. We certify that the Trust is currently in existence, had not been revoked, modified or amended in any manner that would cause the certifications herein to be incorrect and, we agree to inform Commonwealth Securities Limited IMMEDIATELY in writing of any amendment to the Trust, any change in the composition of the Trustees, or any other event which could alter the certifications made above. We acknowledge Commonwealth Securities Limited or PERSHING's right to examine the Trust Agreement and hereby agree to provide Commonwealth Securities Limited or PERSHING with a copy of the Trust Agreement if so requested. (Where applicable, plural references in this certification shall be deemed singular.)

Trustee Name 1:

Trustee Name 2:

Signature:

Signature:

x

x

Date

Date

DD / MM / YYYY

DD / MM / YYYY

Trustee Name 3:

Trustee Name 4:

Signature:

Signature:

x

x

Date

Date

DD / MM / YYYY

DD / MM / YYYY

**Certificate of Status of Beneficial Owner for
United States Tax Withholding and Reporting (Entities)**

▶ For use by entities. Individuals must use Form W-8BEN. ▶ Section references are to the Internal Revenue Code.
▶ Go to www.irs.gov/FormW8BENE for instructions and the latest information.
▶ Give this form to the withholding agent or payer. Do not send to the IRS.

Do NOT use this form for:

- U.S. entity or U.S. citizen or resident W-9
- A foreign individual W-8BEN (Individual) or Form 8233
- A foreign individual or entity claiming that income is effectively connected with the conduct of trade or business within the U.S. (unless claiming treaty benefits) W-8ECI
- A foreign partnership, a foreign simple trust, or a foreign grantor trust (unless claiming treaty benefits) (see instructions for exceptions) . . . W-8IMY
- A foreign government, international organization, foreign central bank of issue, foreign tax-exempt organization, foreign private foundation, or government of a U.S. possession claiming that income is effectively connected U.S. income or that is claiming the applicability of section(s) 115(2), 501(c), 892, 895, or 1443(b) (unless claiming treaty benefits) (see instructions for other exceptions) W-8ECI or W-8EXP
- Any person acting as an intermediary (including a qualified intermediary acting as a qualified derivatives dealer) W-8IMY

Instead use Form:

Part I Identification of Beneficial Owner

1 Name of organization that is the beneficial owner	2 Country of incorporation or organization												
3 Name of disregarded entity receiving the payment (if applicable, see instructions)													
4 Chapter 3 Status (entity type) (Must check one box only): <table style="width:100%; border:none;"> <tr> <td><input type="checkbox"/> Corporation</td> <td><input type="checkbox"/> Disregarded entity</td> <td><input type="checkbox"/> Partnership</td> </tr> <tr> <td><input type="checkbox"/> Simple trust</td> <td><input type="checkbox"/> Grantor trust</td> <td><input type="checkbox"/> Estate</td> </tr> <tr> <td><input type="checkbox"/> Central Bank of Issue</td> <td><input type="checkbox"/> Tax-exempt organization</td> <td><input type="checkbox"/> Government</td> </tr> <tr> <td><input type="checkbox"/> Complex trust</td> <td><input type="checkbox"/> Private foundation</td> <td><input type="checkbox"/> International organization</td> </tr> </table> <p>If you entered disregarded entity, partnership, simple trust, or grantor trust above, is the entity a hybrid making a treaty claim? If "Yes" complete Part III. <input type="checkbox"/> Yes <input type="checkbox"/> No</p>		<input type="checkbox"/> Corporation	<input type="checkbox"/> Disregarded entity	<input type="checkbox"/> Partnership	<input type="checkbox"/> Simple trust	<input type="checkbox"/> Grantor trust	<input type="checkbox"/> Estate	<input type="checkbox"/> Central Bank of Issue	<input type="checkbox"/> Tax-exempt organization	<input type="checkbox"/> Government	<input type="checkbox"/> Complex trust	<input type="checkbox"/> Private foundation	<input type="checkbox"/> International organization
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<input type="checkbox"/> Complex trust	<input type="checkbox"/> Private foundation	<input type="checkbox"/> International organization											
5 Chapter 4 Status (FATCA status) (See instructions for details and complete the certification below for the entity's applicable status.) <table style="width:100%; border:none;"> <tr> <td style="width:50%; vertical-align:top;"> <input type="checkbox"/> Nonparticipating FFI (including an FFI related to a Reporting IGA FFI other than a deemed-compliant FFI, participating FFI, or exempt beneficial owner). <input type="checkbox"/> Participating FFI. <input type="checkbox"/> Reporting Model 1 FFI. <input type="checkbox"/> Reporting Model 2 FFI. <input type="checkbox"/> Registered deemed-compliant FFI (other than a reporting Model 1 FFI, sponsored FFI, or nonreporting IGA FFI covered in Part XII). See instructions. <input type="checkbox"/> Sponsored FFI. Complete Part IV. <input type="checkbox"/> Certified deemed-compliant nonregistering local bank. Complete Part V. <input type="checkbox"/> Certified deemed-compliant FFI with only low-value accounts. 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Complete Part XVI. <input type="checkbox"/> Territory financial institution. Complete Part XVII. <input type="checkbox"/> Excepted nonfinancial group entity. Complete Part XVIII. <input type="checkbox"/> Excepted nonfinancial start-up company. Complete Part XIX. <input type="checkbox"/> Excepted nonfinancial entity in liquidation or bankruptcy. Complete Part XX. <input type="checkbox"/> 501(c) organization. Complete Part XXI. <input type="checkbox"/> Nonprofit organization. Complete Part XXII. <input type="checkbox"/> Publicly traded NFFE or NFFE affiliate of a publicly traded corporation. Complete Part XXIII. <input type="checkbox"/> Excepted territory NFFE. Complete Part XXIV. <input type="checkbox"/> Active NFFE. Complete Part XXV. <input type="checkbox"/> Passive NFFE. Complete Part XXVI. <input type="checkbox"/> Excepted inter-affiliate FFI. Complete Part XXVII. <input type="checkbox"/> Direct reporting NFFE. <input type="checkbox"/> Sponsored direct reporting NFFE. 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6 Permanent residence address (street, apt. or suite no., or rural route). Do not use a P.O. box or in-care-of address (other than a registered address).													
City or town, state or province. Include postal code where appropriate.	Country												
7 Mailing address (if different from above)													
City or town, state or province. Include postal code where appropriate.	Country												
8 U.S. taxpayer identification number (TIN), if required	9a GIIN	b Foreign TIN											
10 Reference number(s) (see instructions)													

Note: Please complete remainder of the form including signing the form in Part XXX.

Part II Disregarded Entity or Branch Receiving Payment. (Complete only if a disregarded entity with a GIIN or a branch of an FFI in a country other than the FFI's country of residence. See instructions.)

- 11** Chapter 4 Status (FATCA status) of disregarded entity or branch receiving payment
- Branch treated as nonparticipating FFI. Reporting Model 1 FFI. U.S. Branch.
- Participating FFI. Reporting Model 2 FFI.
- 12** Address of disregarded entity or branch (street, apt. or suite no., or rural route). **Do not use a P.O. box or in-care-of address** (other than a registered address).

City or town, state or province. Include postal code where appropriate.

Country

- 13** GIIN (if any) _____

Part III Claim of Tax Treaty Benefits (if applicable). (For chapter 3 purposes only.)

- 14** I certify that (check all that apply):
- a** The beneficial owner is a resident of _____ within the meaning of the income tax treaty between the United States and that country.
- b** The beneficial owner derives the item (or items) of income for which the treaty benefits are claimed, and, if applicable, meets the requirements of the treaty provision dealing with limitation on benefits. The following are types of limitation on benefits provisions that may be included in an applicable tax treaty (check only one; see instructions):
- | | |
|--|---|
| <input type="checkbox"/> Government | <input type="checkbox"/> Company that meets the ownership and base erosion test |
| <input type="checkbox"/> Tax exempt pension trust or pension fund | <input type="checkbox"/> Company that meets the derivative benefits test |
| <input type="checkbox"/> Other tax exempt organization | <input type="checkbox"/> Company with an item of income that meets active trade or business test |
| <input type="checkbox"/> Publicly traded corporation | <input type="checkbox"/> Favorable discretionary determination by the U.S. competent authority received |
| <input type="checkbox"/> Subsidiary of a publicly traded corporation | <input type="checkbox"/> Other (specify Article and paragraph): _____ |
- c** The beneficial owner is claiming treaty benefits for U.S. source dividends received from a foreign corporation or interest from a U.S. trade or business of a foreign corporation and meets qualified resident status (see instructions).
- 15** **Special rates and conditions** (if applicable—see instructions):
 The beneficial owner is claiming the provisions of Article and paragraph _____ of the treaty identified on line 14a above to claim a _____ % rate of withholding on (specify type of income): _____
 Explain the additional conditions in the Article the beneficial owner meets to be eligible for the rate of withholding: _____

Part IV Sponsored FFI

- 16** Name of sponsoring entity: _____
- 17** **Check whichever box applies.**
- I certify that the entity identified in Part I:
- Is an investment entity;
 - Is not a QI, WP (except to the extent permitted in the withholding foreign partnership agreement), or WT; **and**
 - Has agreed with the entity identified above (that is not a nonparticipating FFI) to act as the sponsoring entity for this entity.
- I certify that the entity identified in Part I:
- Is a controlled foreign corporation as defined in section 957(a);
 - Is not a QI, WP, or WT;
 - Is wholly owned, directly or indirectly, by the U.S. financial institution identified above that agrees to act as the sponsoring entity for this entity; **and**
 - Shares a common electronic account system with the sponsoring entity (identified above) that enables the sponsoring entity to identify all account holders and payees of the entity and to access all account and customer information maintained by the entity including, but not limited to, customer identification information, customer documentation, account balance, and all payments made to account holders or payees.

Part V Certified Deemed-Compliant Nonregistering Local Bank18 I certify that the FFI identified in Part I:

- Operates and is licensed solely as a bank or credit union (or similar cooperative credit organization operated without profit) in its country of incorporation or organization;
- Engages primarily in the business of receiving deposits from and making loans to, with respect to a bank, retail customers unrelated to such bank and, with respect to a credit union or similar cooperative credit organization, members, provided that no member has a greater than 5% interest in such credit union or cooperative credit organization;
- Does not solicit account holders outside its country of organization;
- Has no fixed place of business outside such country (for this purpose, a fixed place of business does not include a location that is not advertised to the public and from which the FFI performs solely administrative support functions);
- Has no more than \$175 million in assets on its balance sheet and, if it is a member of an expanded affiliated group, the group has no more than \$500 million in total assets on its consolidated or combined balance sheets; **and**
- Does not have any member of its expanded affiliated group that is a foreign financial institution, other than a foreign financial institution that is incorporated or organized in the same country as the FFI identified in Part I and that meets the requirements set forth in this part.

Part VI Certified Deemed-Compliant FFI with Only Low-Value Accounts19 I certify that the FFI identified in Part I:

- Is not engaged primarily in the business of investing, reinvesting, or trading in securities, partnership interests, commodities, notional principal contracts, insurance or annuity contracts, or any interest (including a futures or forward contract or option) in such security, partnership interest, commodity, notional principal contract, insurance contract or annuity contract;
- No financial account maintained by the FFI or any member of its expanded affiliated group, if any, has a balance or value in excess of \$50,000 (as determined after applying applicable account aggregation rules); **and**
- Neither the FFI nor the entire expanded affiliated group, if any, of the FFI, have more than \$50 million in assets on its consolidated or combined balance sheet as of the end of its most recent accounting year.

Part VII Certified Deemed-Compliant Sponsored, Closely Held Investment Vehicle

20 Name of sponsoring entity: _____

21 I certify that the entity identified in Part I:

- Is an FFI solely because it is an investment entity described in Regulations section 1.1471-5(e)(4);
- Is not a QI, WP, or WT;
- Will have all of its due diligence, withholding, and reporting responsibilities (determined as if the FFI were a participating FFI) fulfilled by the sponsoring entity identified on line 20; **and**
- 20 or fewer individuals own all of the debt and equity interests in the entity (disregarding debt interests owned by U.S. financial institutions, participating FFIs, registered deemed-compliant FFIs, and certified deemed-compliant FFIs and equity interests owned by an entity if that entity owns 100% of the equity interests in the FFI and is itself a sponsored FFI).

Part VIII Certified Deemed-Compliant Limited Life Debt Investment Entity22 I certify that the entity identified in Part I:

- Was in existence as of January 17, 2013;
- Issued all classes of its debt or equity interests to investors on or before January 17, 2013, pursuant to a trust indenture or similar agreement; **and**
- Is certified deemed-compliant because it satisfies the requirements to be treated as a limited life debt investment entity (such as the restrictions with respect to its assets and other requirements under Regulations section 1.1471-5(f)(2)(iv)).

Part IX Certain Investment Entities that Do Not Maintain Financial Accounts23 I certify that the entity identified in Part I:

- Is a financial institution solely because it is an investment entity described in Regulations section 1.1471-5(e)(4)(i)(A), **and**
- Does not maintain financial accounts.

Part X Owner-Documented FFI

Note: This status only applies if the U.S. financial institution, participating FFI, or reporting Model 1 FFI to which this form is given has agreed that it will treat the FFI as an owner-documented FFI (see instructions for eligibility requirements). In addition, the FFI must make the certifications below.

24a (All owner-documented FFIs check here) I certify that the FFI identified in Part I:

- Does not act as an intermediary;
- Does not accept deposits in the ordinary course of a banking or similar business;
- Does not hold, as a substantial portion of its business, financial assets for the account of others;
- Is not an insurance company (or the holding company of an insurance company) that issues or is obligated to make payments with respect to a financial account;
- Is not owned by or in an expanded affiliated group with an entity that accepts deposits in the ordinary course of a banking or similar business, holds, as a substantial portion of its business, financial assets for the account of others, or is an insurance company (or the holding company of an insurance company) that issues or is obligated to make payments with respect to a financial account;
- Does not maintain a financial account for any nonparticipating FFI; **and**
- Does not have any specified U.S. persons that own an equity interest or debt interest (other than a debt interest that is not a financial account or that has a balance or value not exceeding \$50,000) in the FFI other than those identified on the FFI owner reporting statement.

Part X Owner-Documented FFI (continued)**Check box 24b or 24c, whichever applies.**

- b** I certify that the FFI identified in Part I:
- Has provided, or will provide, an FFI owner reporting statement that contains:
 - (i) The name, address, TIN (if any), chapter 4 status, and type of documentation provided (if required) of every individual and specified U.S. person that owns a direct or indirect equity interest in the owner-documented FFI (looking through all entities other than specified U.S. persons);
 - (ii) The name, address, TIN (if any), and chapter 4 status of every individual and specified U.S. person that owns a debt interest in the owner-documented FFI (including any indirect debt interest, which includes debt interests in any entity that directly or indirectly owns the payee or any direct or indirect equity interest in a debt holder of the payee) that constitutes a financial account in excess of \$50,000 (disregarding all such debt interests owned by participating FFIs, registered deemed-compliant FFIs, certified deemed-compliant FFIs, excepted NFFEs, exempt beneficial owners, or U.S. persons other than specified U.S. persons); **and**
 - (iii) Any additional information the withholding agent requests in order to fulfill its obligations with respect to the entity.
 - Has provided, or will provide, valid documentation meeting the requirements of Regulations section 1.1471-3(d)(6)(iii) for each person identified in the FFI owner reporting statement.
- c** I certify that the FFI identified in Part I has provided, or will provide, an auditor's letter, signed within 4 years of the date of payment, from an independent accounting firm or legal representative with a location in the United States stating that the firm or representative has reviewed the FFI's documentation with respect to all of its owners and debt holders identified in Regulations section 1.1471-3(d)(6)(iv)(A)(2), and that the FFI meets all the requirements to be an owner-documented FFI. The FFI identified in Part I has also provided, or will provide, an FFI owner reporting statement of its owners that are specified U.S. persons and Form(s) W-9, with applicable waivers.

Check box 24d if applicable (optional, see instructions).

- d** I certify that the entity identified on line 1 is a trust that does not have any contingent beneficiaries or designated classes with unidentified beneficiaries.

Part XI Restricted Distributor

25a (All restricted distributors check here) I certify that the entity identified in Part I:

- Operates as a distributor with respect to debt or equity interests of the restricted fund with respect to which this form is furnished;
- Provides investment services to at least 30 customers unrelated to each other and less than half of its customers are related to each other;
- Is required to perform AML due diligence procedures under the anti-money laundering laws of its country of organization (which is an FATF-compliant jurisdiction);
- Operates solely in its country of incorporation or organization, has no fixed place of business outside of that country, and has the same country of incorporation or organization as all members of its affiliated group, if any;
- Does not solicit customers outside its country of incorporation or organization;
- Has no more than \$175 million in total assets under management and no more than \$7 million in gross revenue on its income statement for the most recent accounting year;
- Is not a member of an expanded affiliated group that has more than \$500 million in total assets under management or more than \$20 million in gross revenue for its most recent accounting year on a combined or consolidated income statement; **and**
- Does not distribute any debt or securities of the restricted fund to specified U.S. persons, passive NFFEs with one or more substantial U.S. owners, or nonparticipating FFIs.

Check box 25b or 25c, whichever applies.

I further certify that with respect to all sales of debt or equity interests in the restricted fund with respect to which this form is furnished that are made after December 31, 2011, the entity identified in Part I:

- b** Has been bound by a distribution agreement that contained a general prohibition on the sale of debt or securities to U.S. entities and U.S. resident individuals and is currently bound by a distribution agreement that contains a prohibition of the sale of debt or securities to any specified U.S. person, passive NFFE with one or more substantial U.S. owners, or nonparticipating FFI.
- c** Is currently bound by a distribution agreement that contains a prohibition on the sale of debt or securities to any specified U.S. person, passive NFFE with one or more substantial U.S. owners, or nonparticipating FFI and, for all sales made prior to the time that such a restriction was included in its distribution agreement, has reviewed all accounts related to such sales in accordance with the procedures identified in Regulations section 1.1471-4(c) applicable to preexisting accounts and has redeemed or retired any, or caused the restricted fund to transfer the securities to a distributor that is a participating FFI or reporting Model 1 FFI securities which were sold to specified U.S. persons, passive NFFEs with one or more substantial U.S. owners, or nonparticipating FFIs.

Part XII Nonreporting IGA FFI

26 I certify that the entity identified in Part I:

- Meets the requirements to be considered a nonreporting financial institution pursuant to an applicable IGA between the United States and _____ . The applicable IGA is a Model 1 IGA or a Model 2 IGA; and is treated as a _____ under the provisions of the applicable IGA or Treasury regulations (if applicable, see instructions);
- If you are a trustee documented trust or a sponsored entity, provide the name of the trustee or sponsor _____ .
The trustee is: U.S. Foreign

Part XIII Foreign Government, Government of a U.S. Possession, or Foreign Central Bank of Issue

27 I certify that the entity identified in Part I is the beneficial owner of the payment, and is not engaged in commercial financial activities of a type engaged in by an insurance company, custodial institution, or depository institution with respect to the payments, accounts, or obligations for which this form is submitted (except as permitted in Regulations section 1.1471-6(h)(2)).

Part XIV International Organization

Check box 28a or 28b, whichever applies.

28a I certify that the entity identified in Part I is an international organization described in section 7701(a)(18).

b I certify that the entity identified in Part I:

- Is comprised primarily of foreign governments;
- Is recognized as an intergovernmental or supranational organization under a foreign law similar to the International Organizations Immunities Act or that has in effect a headquarters agreement with a foreign government;
- The benefit of the entity's income does not inure to any private person; **and**
- Is the beneficial owner of the payment and is not engaged in commercial financial activities of a type engaged in by an insurance company, custodial institution, or depository institution with respect to the payments, accounts, or obligations for which this form is submitted (except as permitted in Regulations section 1.1471-6(h)(2)).

Part XV Exempt Retirement Plans

Check box 29a, b, c, d, e, or f, whichever applies.

29a I certify that the entity identified in Part I:

- Is established in a country with which the United States has an income tax treaty in force (see Part III if claiming treaty benefits);
- Is operated principally to administer or provide pension or retirement benefits; **and**
- Is entitled to treaty benefits on income that the fund derives from U.S. sources (or would be entitled to benefits if it derived any such income) as a resident of the other country which satisfies any applicable limitation on benefits requirement.

b I certify that the entity identified in Part I:

- Is organized for the provision of retirement, disability, or death benefits (or any combination thereof) to beneficiaries that are former employees of one or more employers in consideration for services rendered;
- No single beneficiary has a right to more than 5% of the FFI's assets;
- Is subject to government regulation and provides annual information reporting about its beneficiaries to the relevant tax authorities in the country in which the fund is established or operated; **and**
 - (i) Is generally exempt from tax on investment income under the laws of the country in which it is established or operates due to its status as a retirement or pension plan;
 - (ii) Receives at least 50% of its total contributions from sponsoring employers (disregarding transfers of assets from other plans described in this part, retirement and pension accounts described in an applicable Model 1 or Model 2 IGA, other retirement funds described in an applicable Model 1 or Model 2 IGA, or accounts described in Regulations section 1.1471-5(b)(2)(i)(A));
 - (iii) Either does not permit or penalizes distributions or withdrawals made before the occurrence of specified events related to retirement, disability, or death (except rollover distributions to accounts described in Regulations section 1.1471-5(b)(2)(i)(A) (referring to retirement and pension accounts), to retirement and pension accounts described in an applicable Model 1 or Model 2 IGA, or to other retirement funds described in this part or in an applicable Model 1 or Model 2 IGA); **or**
 - (iv) Limits contributions by employees to the fund by reference to earned income of the employee or may not exceed \$50,000 annually.

c I certify that the entity identified in Part I:

- Is organized for the provision of retirement, disability, or death benefits (or any combination thereof) to beneficiaries that are former employees of one or more employers in consideration for services rendered;
- Has fewer than 50 participants;
- Is sponsored by one or more employers each of which is not an investment entity or passive NFFE;
- Employee and employer contributions to the fund (disregarding transfers of assets from other plans described in this part, retirement and pension accounts described in an applicable Model 1 or Model 2 IGA, or accounts described in Regulations section 1.1471-5(b)(2)(i)(A)) are limited by reference to earned income and compensation of the employee, respectively;
- Participants that are not residents of the country in which the fund is established or operated are not entitled to more than 20% of the fund's assets; **and**
- Is subject to government regulation and provides annual information reporting about its beneficiaries to the relevant tax authorities in the country in which the fund is established or operates.

Part XV Exempt Retirement Plans (continued)

- d I certify that the entity identified in Part I is formed pursuant to a pension plan that would meet the requirements of section 401(a), other than the requirement that the plan be funded by a trust created or organized in the United States.
- e I certify that the entity identified in Part I is established exclusively to earn income for the benefit of one or more retirement funds described in this part or in an applicable Model 1 or Model 2 IGA, or accounts described in Regulations section 1.1471-5(b)(2)(i)(A) (referring to retirement and pension accounts), or retirement and pension accounts described in an applicable Model 1 or Model 2 IGA.
- f I certify that the entity identified in Part I:
- Is established and sponsored by a foreign government, international organization, central bank of issue, or government of a U.S. possession (each as defined in Regulations section 1.1471-6) or an exempt beneficial owner described in an applicable Model 1 or Model 2 IGA to provide retirement, disability, or death benefits to beneficiaries or participants that are current or former employees of the sponsor (or persons designated by such employees); **or**
 - Is established and sponsored by a foreign government, international organization, central bank of issue, or government of a U.S. possession (each as defined in Regulations section 1.1471-6) or an exempt beneficial owner described in an applicable Model 1 or Model 2 IGA to provide retirement, disability, or death benefits to beneficiaries or participants that are not current or former employees of such sponsor, but are in consideration of personal services performed for the sponsor.

Part XVI Entity Wholly Owned by Exempt Beneficial Owners

- 30 I certify that the entity identified in Part I:
- Is an FFI solely because it is an investment entity;
 - Each direct holder of an equity interest in the investment entity is an exempt beneficial owner described in Regulations section 1.1471-6 or in an applicable Model 1 or Model 2 IGA;
 - Each direct holder of a debt interest in the investment entity is either a depository institution (with respect to a loan made to such entity) or an exempt beneficial owner described in Regulations section 1.1471-6 or an applicable Model 1 or Model 2 IGA.
 - Has provided an owner reporting statement that contains the name, address, TIN (if any), chapter 4 status, and a description of the type of documentation provided to the withholding agent for every person that owns a debt interest constituting a financial account or direct equity interest in the entity; **and**
 - Has provided documentation establishing that every owner of the entity is an entity described in Regulations section 1.1471-6(b), (c), (d), (e), (f) and/or (g) without regard to whether such owners are beneficial owners.

Part XVII Territory Financial Institution

- 31 I certify that the entity identified in Part I is a financial institution (other than an investment entity) that is incorporated or organized under the laws of a possession of the United States.

Part XVIII Excepted Nonfinancial Group Entity

- 32 I certify that the entity identified in Part I:
- Is a holding company, treasury center, or captive finance company and substantially all of the entity's activities are functions described in Regulations section 1.1471-5(e)(5)(i)(C) through (E);
 - Is a member of a nonfinancial group described in Regulations section 1.1471-5(e)(5)(i)(B);
 - Is not a depository or custodial institution (other than for members of the entity's expanded affiliated group); **and**
 - Does not function (or hold itself out) as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle with an investment strategy to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes.

Part XIX Excepted Nonfinancial Start-Up Company

- 33 I certify that the entity identified in Part I:
- Was formed on (or, in the case of a new line of business, the date of board resolution approving the new line of business) _____ (date must be less than 24 months prior to date of payment);
 - Is not yet operating a business and has no prior operating history or is investing capital in assets with the intent to operate a new line of business other than that of a financial institution or passive NFFE;
 - Is investing capital into assets with the intent to operate a business other than that of a financial institution; **and**
 - Does not function (or hold itself out) as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes.

Part XX Excepted Nonfinancial Entity in Liquidation or Bankruptcy

- 34 I certify that the entity identified in Part I:
- Filed a plan of liquidation, filed a plan of reorganization, or filed for bankruptcy on _____;
 - During the past 5 years has not been engaged in business as a financial institution or acted as a passive NFFE;
 - Is either liquidating or emerging from a reorganization or bankruptcy with the intent to continue or recommence operations as a nonfinancial entity; **and**
 - Has, or will provide, documentary evidence such as a bankruptcy filing or other public documentation that supports its claim if it remains in bankruptcy or liquidation for more than 3 years.

Part XXI 501(c) Organization

35 I certify that the entity identified in Part I is a 501(c) organization that:

- Has been issued a determination letter from the IRS that is currently in effect concluding that the payee is a section 501(c) organization that is dated _____; **or**
- Has provided a copy of an opinion from U.S. counsel certifying that the payee is a section 501(c) organization (without regard to whether the payee is a foreign private foundation).

Part XXII Nonprofit Organization

36 I certify that the entity identified in Part I is a nonprofit organization that meets the following requirements.

- The entity is established and maintained in its country of residence exclusively for religious, charitable, scientific, artistic, cultural or educational purposes;
- The entity is exempt from income tax in its country of residence;
- The entity has no shareholders or members who have a proprietary or beneficial interest in its income or assets;
- Neither the applicable laws of the entity's country of residence nor the entity's formation documents permit any income or assets of the entity to be distributed to, or applied for the benefit of, a private person or noncharitable entity other than pursuant to the conduct of the entity's charitable activities or as payment of reasonable compensation for services rendered or payment representing the fair market value of property which the entity has purchased; **and**
- The applicable laws of the entity's country of residence or the entity's formation documents require that, upon the entity's liquidation or dissolution, all of its assets be distributed to an entity that is a foreign government, an integral part of a foreign government, a controlled entity of a foreign government, or another organization that is described in this part or escheats to the government of the entity's country of residence or any political subdivision thereof.

Part XXIII Publicly Traded NFFE or NFFE Affiliate of a Publicly Traded Corporation

Check box 37a or 37b, whichever applies.

37a I certify that:

- The entity identified in Part I is a foreign corporation that is not a financial institution; **and**
- The stock of such corporation is regularly traded on one or more established securities markets, including _____ (name one securities exchange upon which the stock is regularly traded).

b I certify that:

- The entity identified in Part I is a foreign corporation that is not a financial institution;
- The entity identified in Part I is a member of the same expanded affiliated group as an entity the stock of which is regularly traded on an established securities market;
- The name of the entity, the stock of which is regularly traded on an established securities market, is _____; **and**
- The name of the securities market on which the stock is regularly traded is _____.

Part XXIV Excepted Territory NFFE

38 I certify that:

- The entity identified in Part I is an entity that is organized in a possession of the United States;
- The entity identified in Part I:
 - (i) Does not accept deposits in the ordinary course of a banking or similar business;
 - (ii) Does not hold, as a substantial portion of its business, financial assets for the account of others; **or**
 - (iii) Is not an insurance company (or the holding company of an insurance company) that issues or is obligated to make payments with respect to a financial account; **and**
- All of the owners of the entity identified in Part I are bona fide residents of the possession in which the NFFE is organized or incorporated.

Part XXV Active NFFE

39 I certify that:

- The entity identified in Part I is a foreign entity that is not a financial institution;
- Less than 50% of such entity's gross income for the preceding calendar year is passive income; **and**
- Less than 50% of the assets held by such entity are assets that produce or are held for the production of passive income (calculated as a weighted average of the percentage of passive assets measured quarterly) (see instructions for the definition of passive income).

Part XXVI Passive NFFE

40a I certify that the entity identified in Part I is a foreign entity that is not a financial institution (other than an investment entity organized in a possession of the United States) and is not certifying its status as a publicly traded NFFE (or affiliate), excepted territory NFFE, active NFFE, direct reporting NFFE, or sponsored direct reporting NFFE.

Check box 40b or 40c, whichever applies.

- b I further certify that the entity identified in Part I has no substantial U.S. owners (or, if applicable, no controlling U.S. persons); **or**
- c I further certify that the entity identified in Part I has provided the name, address, and TIN of each substantial U.S. owner (or, if applicable, controlling U.S. person) of the NFFE in Part XXIX.

Part XXVII Excepted Inter-Affiliate FFI

41 I certify that the entity identified in Part I:

- Is a member of an expanded affiliated group;
- Does not maintain financial accounts (other than accounts maintained for members of its expanded affiliated group);
- Does not make withholdable payments to any person other than to members of its expanded affiliated group;
- Does not hold an account (other than depository accounts in the country in which the entity is operating to pay for expenses) with or receive payments from any withholding agent other than a member of its expanded affiliated group; **and**
- Has not agreed to report under Regulations section 1.1471-4(d)(2)(ii)(C) or otherwise act as an agent for chapter 4 purposes on behalf of any financial institution, including a member of its expanded affiliated group.

Part XXVIII Sponsored Direct Reporting NFFE (see instructions for when this is permitted)

42 Name of sponsoring entity: _____

43 I certify that the entity identified in Part I is a direct reporting NFFE that is sponsored by the entity identified on line 42.

Part XXIX Substantial U.S. Owners of Passive NFFE

As required by Part XXVI, provide the name, address, and TIN of each substantial U.S. owner of the NFFE. Please see the instructions for a definition of substantial U.S. owner. If providing the form to an FFI treated as a reporting Model 1 FFI or reporting Model 2 FFI, an NFFE may also use this part for reporting its controlling U.S. persons under an applicable IGA.

Name	Address	TIN

Part XXX Certification

Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. I further certify under penalties of perjury that:

- The entity identified on line 1 of this form is the beneficial owner of all the income to which this form relates, is using this form to certify its status for chapter 4 purposes, or is a merchant submitting this form for purposes of section 6050W;
- The entity identified on line 1 of this form is not a U.S. person;
- The income to which this form relates is: (a) not effectively connected with the conduct of a trade or business in the United States, (b) effectively connected but is not subject to tax under an income tax treaty, or (c) the partner's share of a partnership's effectively connected income; **and**
- For broker transactions or barter exchanges, the beneficial owner is an exempt foreign person as defined in the instructions.

Furthermore, I authorize this form to be provided to any withholding agent that has control, receipt, or custody of the income of which the entity on line 1 is the beneficial owner or any withholding agent that can disburse or make payments of the income of which the entity on line 1 is the beneficial owner.

I agree that I will submit a new form within 30 days if any certification on this form becomes incorrect.

Sign Here

Signature of individual authorized to sign for beneficial owner

Print Name

Date (MM-DD-YYYY)

I certify that I have the capacity to sign for the entity identified on line 1 of this form.

CERTIFICATION OF FOREIGN TAX ID - TRUST

Commonwealth Securities Limited
 ABN 60 067 254 399 AFSL 238814 (CommSec)
 A Participant of the ASX Group

Commonwealth Securities Limited
 Locked Bag 22 Australia Square NSW 1215
 Phone: **1300 361 170**
commsec.com.au

IMPORTANT INFORMATION

READ BEFORE PROCEEDING

Due to changes made by the Internal Revenue Service (IRS), an account will be deemed to be undocumented from **1st January 2018** if a foreign TIN or a valid exemption reason has not been provided. This means that up to 30% of dividends and sale proceeds may be withheld.

Every holder of the International Account is required to complete a separate form. Please send the scanned copy of this form to CommSecInternationalApplications@cba.com.au

Please note that you have an obligation under US tax laws to provide information necessary for US tax withholding and reporting requirements. The information on this form has been provided to assist you with your obligations, however, you should ensure that you understand your obligations before completing and returning the form to CommSec. CommSec is unable to provide you advice in relation to your obligation or the US consequences of your investment. **For further advice please contact the IRS or your US tax adviser.**

PERSONAL DETAILS

Name of beneficial owner

This certificate relates to IRS form:

W-8BEN
 W-8BEN-E
 W-8ECI
 W-8EXP

IRS W-8 form signed on [MM/DD/YYYY]:

This certificate relates to all accounts/transactions to which the aforementioned IRS form is applied, including, but not limited to, Pershing account number(s) below. This number starts with "OAC" and can be found on the Portfolio page on the CommSec website.

Pershing account number(s)

Issuing Country:

Please complete **one** of the following:

This trust has an ABN.

Foreign Tax ID (this is your ABN):

This trust does not have an ABN.

Foreign Tax ID:

The jurisdiction where the account holder is a resident does not require the account holder to obtain a TIN

Under penalties of perjury, I declare that I have examined the information on this certification, and to the best of my knowledge and belief, it is true, correct and complete.

Beneficial owner signature:

Printed name of signatory:

Date (MM/DD/YYYY):

APPENDIX 1

A list of eligible Job Category and Job Types are provided below. Job Categories are in bold with their associated Job Types listed underneath.

AIR / SEA TRANS WORKERS

- Air Transport/Support Worker
- Aircraft Pilots
- Other
- Ships Pilots And Crew
- Stewards

CLERKS

- Data Processing
- Receptionists
- Dispatch/Purchasing
- Stenographers/Typists
- Filing/Mail Sorters
- Other (Clerks)
- Numerical/Accounting/Statistics

COMMONWEALTH BANK STAFF

- Commonwealth Bank Staff

ENGINEERS / TECHNICIANS

- Aircraft
- Architect
- Building Engineer
- Chemical
- Civil
- Electrical Engineer
- Marine
- Mechanical
- Other (Engineers/Technicians)

GOVERNMENT OFFICIALS

- Ambulance
- Fire Fighter
- Judges/Magistrates/Mediators
- Parliamentarians/Councillors
- Police

HOME DUTIES

- Home Duties

LABOURERS AND RELATED WORKERS

- Agricultural Labourers
- Cleaners
- Construction
- Factory Hands
- Farm Hands
- Fishing
- Mining
- Other (Labourers)

MACHINE OPERATORS / DRIVERS

- Excavating/Earthmoving
- Mobile Plant/Equipment
- Rail/Tram/Road
- Stationary Plant/Equipment
- Other Machine Operators

MANAGERS

- Farmers And Farm Managers
- Finance
- Personnel & Industrial Relations
- Production
- Sales And Marketing
- Other (Managers)

MEDICAL / VETERINARIANS

- Dental
- Doctor/General Practitioner
- Nurses
- Occupational Therapists
- Optometrists
- Pharmacists
- Physiotherapists
- Specialist
- Other (Medical/Veterinarians)

PROFESSIONALS

- Accountants
- Artists
- Computing
- Journalists
- Lawyers
- Minister Of Religion/Social Work
- Personnel
- Public Relations
- Other (Professionals)

RETIRED

- Independent Means
- Pensioner(Dss)
- Pensioner(Vet Affairs)
- Private Superannuant
- Other (Retired)

SALESPERSONS / CAREWORKERS

- Care Worker
- Cashier/Telling
- Investment/Insurance
- Real Estate
- Sales Assistant
- Sales Representative
- Travel
- Waiters/Waitresses
- Other (Salesperson/Care Workers)

SCIENTISTS

- Geologist
- Physicist
- Research Chemist
- Other (Scientists)

SPORTS PERSONS

- Sports Persons

STUDENTS

- Austudy
- Other (Students)

TEACHERS / INSTRUCTORS

- Primary
- Secondary
- Tafe
- University
- Other (Teachers/Instructors)

TRADESPERSONS / APPRENTICES

- Building
- Electrical
- Food
- Horticultural
- Metal
- Printing
- Vehicle
- Other (Tradesperson/Apprentices)

UNEMPLOYED / OTHER

- Invalid Pensioner
- Unemployment Benefits
- Other (Unemployed/Other)

APPENDIX 2

A list of eligible Industry Category and Industry Types are provided below. Industry Categories are in bold with their associated Industry Types listed underneath.

AGRICULTURE, FORESTRY AND FISHING

- Plant Nurseries
- Cut Flower & Flower Seed
- Growing
- Vegetable Growing
- Grape Growing
- Apple And Pear Growing
- Stone Fruit Growing
- Kiwi Fruit Growing
- Fruit Growing N.E.C
- Grain Growing
- Grain-Sheep\Grain-Beef Cattle Farming
- Sheep-Beef Cattle Farming
- Sheep Farming
- Beef Cattle Farming
- Dairy Cattle Farming
- Poultry Farming (Meat)
- Poultry Farming (Eggs)
- Pig Farming
- Horse Farming
- Deer Farming
- Livestock Farming N.E.C
- Sugar Cane Growing
- Cotton Growing
- Crop And Plant Growing N.E.C
- Cotton Ginning
- Shearing Services
- Aerial Agricultural Services
- Services To Agriculture N.E.C
- Hunting & Trapping
- Forestry
- Logging
- Services To Forestry
- Rock Lobster Fishing
- Prawn Fishing
- Finfish Trawling
- Squid Jigging
- Line Fishing
- Marine Fishing N.E.C
- Aquaculture

MINING

- Black Coal Mining
- Brown Coal Mining
- Oil & Gas Extraction
- Iron Ore Mining
- Bauxite Mining
- Copper Ore Mining
- Gold Ore Mining
- Mineral Sand Mining
- Nickel Ore Mining
- Silver-Lead-Zinc Ore Mining
- Gravel & Sand Quarrying
- Metal Ore Mining N.E.C"
- Construction Materials Mining N.E.C
- Mining Nec
- Petroleum Exploration (Own Account)
- Mineral Exploration Services
- Petroleum Exploration Services
- Mineral Exploration (Own Account)
- Other Mining Services

MANUFACTURING

- Computer & Business Machine Manufacturing
- Telecomm,Broadcasting & Transceiving Equip
- Electronic Equipment Manufacturing N.E.C
- Household Appliance Manufacturing
- Electrical Cable & Wire Manufacturing
- Battery Manufacturing
- Electric Light & Sign Manufacturing
- Electrical Equipment Manufacturing N.E.C
- Agricultural Machinery Manufacturing
- Mining & Construction Machinery Manufacturing
- Food Processing Machinery Manufacturing
- Machine Tool & Part Manufacturing
- Lifting & Material Handling Equipment Manu
- Pump & Compressor Manufacturing
- Commercial Space Heating & Cooling Equipment
- Industrial Machinery & Equipment Manu N.E.C
- Prefabricated Metal Building Manufacturing
- Prefabricated Building Manufacturing N.E.C
- Wooden Furniture & Upholstered Seat Manufacturing
- Sheet Metal Furniture Manufacturing
- Mattress Manufacturing (Except Rubber)
- Furniture Manufacturing N.E.C
- Jewellery & Silverware Manufacturing
- Toy & Sporting Good Manufacturing
- Manufacturing N.E.C
- Industrial Gas Manufacturing
- Synthetic Resin Manufacturing
- Organic Industrial Chemical Manufacturing N.
- Inorganic Industrial Chemical Manufacturing
- Explosive Manufacturing
- Paint Manufacturing
- Medicinal & Pharmaceutical Product Manufacturing
- Pesticide Manufacturing
- Soap & Other Detergent Manufacturing
- Cosmetic & Toiletry Preparation Manufacturing
- Ink Manufacturing
- Chemical Product Manufacturing N.E.C
- Rubber Tyre Manufacturing
- Rubber Product Manufacturing N.E.C
- Plastic Blow Moulded Product Manufacturing
- Plastic Extruded Product Manufacturing
- Plastic Bag & Film Manufacturing
- Plastic Product Rigid Fibre Reinforced Manu
- Plastic Foam Product Manufacturing
- Plastic Injection Moulded Product Manu
- Glass & Glass Product Manufacturing
- Clay Brick Manufacturing
- Ceramic Product Manufacturing
- Ceramic Tile & Pipe Manufacturing
- Ceramic Product Manufacturing N.E.C
- Cement & Lime Manufacturing
- Plastic Product Manufacturing
- Concrete Slurry Manufacturing
- Concrete Pipe & Box Culvert Manufacturing
- Concrete Product Manufacturing N.E.C
- Non-Metallic Mineral Product Manu N.E.C
- Basic Iron & Steel Manufacturing
- Iron & Steel Casting & Forging
- Steel Pipe & Tube Manufacturing
- Alumina Production
- Aluminium Smelting
- Copper, Silver, Lead & Zinc Smelting Refining
- Basic Non-Ferrous Metal Manufacturing N.E.C
- Aluminium Rolling, Drawing Extruding
- Non-Ferrous Metal Rolling,Drawing,Extruding
- Non-Ferrous Metal Casting
- Structured Steel Fabricating
- Architectural Aluminium Product Manufacturing
- Structural Metal Product Manufacturing N.E.C
- Metal Container Manufacturing
- Sheet Metal Product Manufacturing
- Hand Tool & General Hardware Manufacturing
- Spring & Wire Product Manufacturing
- Nut, Bolt, Screw & Rivet Manufacturing
- Metal Coating & Finishing
- Non-Ferrous Pipe Fitting Manufacturing
- Fabricated Metal Product Manufacturing N.E.C
- Motor Vehicle Manufacturing
- Motor Vehicle Body Manufacturing
- Automotive Electrical & Instrument Manufacturing
- Automotive Component Manufacturing N.E.C
- Shipbuilding
- Boat building
- Railway Equipment Manufacturing
- Aircraft Manufacturing
- Transport Equipment Manufacturing N.E.C
- Photographic & Optical Good Manufacturing
- Medical & Surgical Equipment Manufacturing
- Professional & Scientific Equipment Manu N.E.C
- Meat Processing
- Poultry Processing
- Bacon, Ham And Small good Manufacturing
- Milk And Cream Processing
- Ice Cream Manufacturing N.E.C
- Dairy Product Manufacturing
- Fruit & Vegetable Product Processing
- Oil & Fat Manufacturing

MANUFACTURING (CONTINUED)

- Flour Mill Product Manufacturing
- Cereal Food And Baking Mix Manufacturing
- Bread Manufacturing
- Cake & Pastry Manufacturing
- Biscuit Manufacturing
- Sugar Manufacturing
- Confectionery Manufacturing
- Seafood Processing
- Prepared Animal & Bird Feed Manufacturing
- Food Manufacturing N.E.C
- Soft Drink,Cordial & Syrup Manufacturing
- Beer And Malt Manufacturing
- Wine Manufacturing
- Spirit Manufacturing
- Tobacco Product Manufacturing
- Wool Scouring
- Synthetic Fibre Textile Manufacturing
- Cotton Textile Manufacturing
- Wool Textile Manufacturing
- Textile Finishing
- Made-Up Textile Product Manufacturing
- Textile Floor Covering Manufacturing
- Rope, Cordage And Twine Manufacturing
- Textile Product Manufacturing N.E.C
- Hosiery Manufacturing
- Cardigan And Pullover Manufacturing
- Knitting Mill Product Manufacturing N.E.C
- Men's And Boy's Wear Manufacturing
- Women's And Girl's Wear Manufacturing
- Sleepwear,Underwear & Infant Clothing Manu
- Clothing Manufacturing N.E.C
- Footwear Manufacturing
- Leather Tanning And Fur Dressing
- Leather & Leather Substitute Product Manufacturing
- Log Saw milling
- Wood Chipping
- Timber Resawing And Dressing
- Plywood & Veneer Manufacturing
- Fabricated Wood Manufacturing
- Wooden Structural Component Manufacturing
- Wood Product Manufacturing N.E.C
- Pulp, Paper & Paperboard Manufacturing
- Solid Paperboard Container Manufacturing
- Corrugated Paperboard Container Manufacturing
- Paper Bag & Sack Manufacturing
- Paper Product Manufacturing N.E.C
- Paper Stationery Manufacturing
- Printing
- Services To Printing
- Newspaper Printing Or Publishing
- Other Periodical Publishing
- Book & Other Publishing
- Recorded Media Manufacturing & Publishing
- Petroleum Refining
- Petroleum & Coal Product Manufacturing N.E.C
- Fertiliser Manufacturing

ELECTRICITY, GAS AND WATER SUPPLY

- Electricity Supply
- Electricity Generation
- Electricity Transmission
- Electricity Distribution
- Gas Supply
- Water Supply
- Sewerage & Drainage Services

CONSTRUCTION

- House Construction
- Residential Building Construction N.E.C
- Non-Residential Building Construction
- Commercial and Industrial Building Construction
- Institutional Building Construction
- Road & Bridge Construction
- Non-Building Construction N.E.C
- Site Preparation Services
- Concreting Services
- Bricklaying Services
- Roofing Services
- Structural Steel Erection Services
- Plumbing Services
- Electrical Services
- Air Conditioning & Heating Services
- Fire & Security System Services
- Plastering & Ceiling Services
- Carpentry Services
- Tiling & Carpeting Services
- Painting & Decorating Services
- Glazing Services
- Landscaping Services
- Constructions Services N.E.C

WHOLESALE TRADE

- Wool Wholesaling
- Cereal Grain Wholesaling
- Farm Produce & Supplies Wholesaling
- Petroleum Product Wholesaling
- Metal & Mineral Wholesaling
- Chemical Wholesaling
- Timber Wholesaling
- Building Supplies Wholesaling N.E.C
- Farm & Construction Machinery Wholesaling
- Professional Equipment Wholesaling
- Computer Wholesaling
- Business Machine Wholesaling N.E.C
- Electrical & Electronic Equipment Wholesale
- Machinery & Equipment Wholesaling N.E.C
- Car Wholesaling
- Commercial Vehicle Wholesaling
- Motor Vehicle New Part Dealing
- Motor Vehicle Dismantling & Used Part Dealing
- Meat Wholesaling
- Poultry & Small good Wholesaling
- Dairy Produce Wholesaling
- Fish Wholesaling
- Fruit & Vegetable Wholesaling
- Confectionery & Soft Drink Wholesaling
- Liquor Wholesaling
- Tobacco Product Wholesaling
- Grocery Wholesaling N.E.C
- Textile Product Wholesaling
- Clothing Wholesaling
- Footwear Wholesaling
- Household Appliance Wholesaling
- Furniture Wholesaling
- Floor Covering Wholesaling
- Household Good Wholesaling N.E.C
- Photographic Equipment Wholesaling
- Jewellery & Watch Wholesaling
- Toy & Sporting Good Wholesaling
- Book & Magazine Wholesaling
- Paper Product Wholesaling
- Pharmaceutical & Toiletry Wholesaling
- Wholesaling Nec

RETAIL TRADE

- Supermarket & Grocery Stores
- Supermarkets and Other Grocery (except Convenience) Stores
- Convenience Stores
- Fresh Meat, Fish & Poultry Retailing
- Fruit & Vegetable Retailing
- Liquor Retailing
- Bread & Cake Retailing
- Takeaway Food Retailing
- Milk Vending
- Specialised Food Retailing N.E.C
- Department Stores
- Clothing Retailing
- Footwear Retailing
- Fabric & Other Soft Good Retailing
- Furniture Retailing
- Floor Covering Retailing
- Domestic Hardware & Houseware Retailing
- Domestic Appliance Retailing
- Recorded Music Retailing
- Computer and Software Retailing
- Domestic Appliance (except Computer and Software) Retailing
- Sport & Camping Equipment Retailing

RETAIL TRADE (CONTINUED)

- Toy & Game Retailing
- Newspaper, Book & Stationary Retailing
- Photographic Equipment Retailing
- Marine Equipment Retailing
- Pharmaceutical, Cosmetic & Toiletry Retailing
- Antique & Used Good Retailing
- Garden Equipment Retailing
- Flower Retailing
- Watch & Jewellery Retailing
- Household Equipment Repair Services N.E.C
- Electronic Shopping and Mail-Order Houses
- Retailing N.E.C
- Household Equipment Repair Services (Electrical)
- Car Retailing
- Motor Cycle Dealing
- Trailer & Caravan Dealing
- Automotive Fuel Retailing
- Automotive Electrical Services
- Smash Repairing
- Tyre Retailing
- Automotive Repair & Services N.E.C

ACCOMMODATION, CAFES AND RESTAURANTS

- Accommodation
- Hotel Accommodation
- Motel Accommodation
- Other Accommodation
- Pubs, Taverns & Bars
- Cafes & Restaurants
- Caterers and Food Service Contractors
- Clubs (Hospitality)

TRANSPORT AND STORAGE

- Road Freight Transport
- Long Distance Bus Transport
- Short Distance Bus Transport (Incl Tramway)
- Taxi & Other Road Passenger Transport
- Rail Transport
- International Sea Transport
- Coastal Water Transport
- Inland Water Transport
- Scheduled International Air Transport
- Scheduled Domestic Air Transport
- Non-Scheduled Air & Space Transport
- Pipeline Transport
- Transport N.E.C
- Parking Services
- Services To Road Transport N.E.C
- Stevedoring
- Water Transport Terminals
- Port Operators
- Services To Water Transport N.E.C
- Services To Air Transport
- Travel Agency Services
- Road Freight Forwarding
- Freight Forwarding (Except Road)
- Customs Agency Services
- Services To Transport N.E.C
- Grain Storage
- Storage N.E.C

COMMUNICATION SERVICES

- Postal Services
- Courier Services
- Telecommunication Services
- Wired Telecommunications Carriers
- Mobile Telecommunications Carriers
- Telecommunications Resellers
- Internet Service Providers
- Other Telecommunication Services

FINANCE AND INSURANCE

- Central Bank
- Overseas Central Banks – Oecd
- Overseas Central Banks – Non-Oecd
- Overseas Banks – Oecd
- Overseas Banks – Non-Oecd
- Banks
- Building Societies
- Credit Unions
- Money Market Dealers
- National and Regional Commercial Banks
- Unit / Property Trusts
- Finance Companies
- Investment Banks / Securities Houses
- Deposit Taking Financiers N.E.C
- Other Financiers
- State Central Borrowing Authorities
- Financial Asset Investors
- Cash Management Trusts
- Life Insurance
- Superannuation Funds
- Health Insurance
- General Insurance
- Financial Asset Broking Services
- Securities Brokerage and Investment Banking
- Mortgage Brokers
- Funds Management
- Investment Advice
- Funds Managers
- Services To Finance & Investment N.E.C
- Services To Insurance

PROPERTY AND BUSINESS SERVICES

- Residential Property Developer
- Commercial Property Developer
- Premium Grade Office Property
- 'A' Grade Office Property
- 'B' Grade Office Property
- 'C' Grade Office Property
- 'D' Grade Office Property
- Very Large Shopping Centre
- Community Shopping Centre
- Neighbourhood Shopping Centre
- Non-Integrated Shopping Centre
- Other Retail
- High-Tech Industrial
- Warehouse
- Factory
- Heavy Industrial Estate
- Hotels (Mainly Drinking)
- Hotels (Mainly Accommodation)
- Motels
- Resorts
- Caravan Parks
- Hospitals & Nursing Homes
- Clubs
- Schools & Colleges
- Residential (4 Or More Dwellings)
- Retirement Villages
- Vacant Land
- Commercial Property Nec
- Residential Property Operators
- Commercial Property Operators & Developers
- Real Estate Agents
- Non-Financial Asset Investors
- Motor Vehicle Hiring
- Other Transport Equipment Leasing
- Plant Hiring Or Leasing
- Scientific Research
- Architectural Services
- Surveying Services
- Consulting Engineering Services
- Technical Services N.E.C
- Data Processing Services
- Information Storage And Retrieval Services
- Computer Maintenance Services
- Computer Consultancy Services
- Legal Services
- Accounting Services
- Advertising Services
- Commercial Art And Display Services
- Market Research Services
- Business Administrative Services
- Business Management Services
- Employment Placement Services
- Contact Staff Services
- Secretarial Services
- Security And Investigative Service (Except Police)
- Pest Control Services
- Cleaning Services
- Contract Packing Services N.E.C
- Business Services N.E.C

4 | INDUSTRY CATEGORY AND INDUSTRY TYPE CLASSIFICATION

GOVERNMENT ADMINISTRATION AND DEFENCE

- Central Government Administration
- State Government Administration
- Local Government Administration
- Overseas Governments – Oecd
- Overseas Governments – Non-Oecd
- Justice
- Foreign Government Representation
- Defence

EDUCATION

- Preschool Education
- Primary Education
- Secondary Education
- Combined Primary/Secondary Education
- Special School Education
- Higher Education
- Technical And Further Education
- Other Education

HEALTH AND COMMUNITY SERVICES

- Hospitals (Except Psychiatric)
- Psychiatric Hospitals
- Nursing Homes
- General Practice Medical Services
- Specialist Medical Services
- Dental Services
- Pathology Services
- Optometry And Optical Dispensing
- Ambulance Services
- Community Health Centres
- Physiotherapy Services
- Chiropractic Services
- Health Services N.E.C
- Veterinary Services
- Child Care Services
- Accommodation For The Aged
- Residential Care Services N.E.C
- Non-Residential Care Services N.E.C

CULTURAL AND RECREATIONAL SERVICES

- Film And Video Production
- Film And Video Distribution
- Motion Picture Exhibition
- Radio Services
- Television Services
- Free to Air Television Services
- Pay Television
- Libraries
- Museums
- Zoological And Botanic Gardens
- Recreational Parks And Gardens
- Music And Theatre Production
- Creative Arts
- Sound Recording Studios
- Performing Arts Venues
- Services To The Arts N.E.C
- Horse And Dog Racing
- Sports Grounds And Facilities N.E.C
- Sports And Services To Sports N.E.C
- Lotteries
- Casinos
- Gambling Services N.E.C
- Other Recreation Services N.E.C

PERSONAL AND OTHER SERVICES

- Video Hire Outlets
- Personal And Household Goods Hiring N.E.C
- Laundries And Dry-Cleaners
- Photographic Film Processing
- Photographic Studios
- Funeral Directors, Crematoria And Cemeteries
- Gardening Services
- Hairdressing And Beauty Salons
- Personal Services N.E.C
- Religious Organisations
- Business And Professional Associations
- Labour Associations
- Political Parties
- Interest Groups N.E.C
- Police Services
- Corrective Centres
- Fire Brigade Services
- Waste Disposal Services
- Individuals
- Private Households Employing Staff